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Multiscale modeling of transient problems in periodic Cauchy materials: Asymptotic and spectro-hierarchical homogenization

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ABSTRACT

This work presents a detailed and systematic analytical investigation of the transient elastic wave propagation in two-dimensional periodic heterogeneous composites. The study is conducted through two complementary methodologies: asymptotic homogenization and a recently proposed spectro-hierarchical approach, specifically designed to resolve higher-order microstructural effects. The asymptotic homogenization method derives effective macroscopic equations that accurately capture the averaged behavior of the periodic microstructure, providing a reduced-order but reliable representation of long-wave and low-frequency dynamics. In parallel, the spectro-hierarchical approach systematically reconstructs microstructural fluctuations using a combination of truncated Fourier expansions and a hierarchical sequence of differential problems, allowing the recovery of both first-order homogenized responses and higher-order corrections due to local heterogeneities. The analysis considers both zero and non-zero initial conditions, enabling the study of general transient excitations, including short-time dynamics and localized disturbances, rather than merely steady-state or frequency-limited responses.

1. Introduction

The rapid evolution of advanced additive manufacturing and 3D printing technologies has enabled the design and realization of architected materials and metamaterials with complex, periodic microstructures that were previously unattainable (Cui et al., 2022; Khalid, Arif, Tariq, Hossain, Umer et al., 2024; Misseroni, Pratapa, Liu, & Paulino, 2022; Nazir et al., 2023; Yuan, Chua, & Zhou, 2019). As a result, the development of accurate and efficient multiscale modeling strategies has become essential in order to truthfully predict the response of such materials (Charalambakis, 2010; Geers, Kouznetsova, & Brekelmans, 2010). Homogenization and multiscale approaches constitute indispensable tools for capturing the macroscale behavior emerging from the microstructural architecture, offering a computationally tractable alternative to the direct simulation of fully heterogeneous systems. In this perspective, the present paper aims to compare the asymptotic homogenization scheme with the spectro-hierarchical approach proposed in Fortunati, Misseroni, and Bacigalupo (2024), focusing attention on the analysis of transient elastic wave propagation in microstructured composite materials. The asymptotic homogenization technique is known to be a powerful multiscale method that accurately describes the behavior of heterogeneous microstructured materials, capturing how phenomena occurring at the microscale influence performance at the macrostructural level (Bakhvalov & Panasenko, 1984; Bensoussan, Lions, & Papanicolaou, 1978; Kamotski & Smyshlyaev, 2019; Molotkov, 2000; Smyshlyaev & Cherednichenko, 2000). The method relies on a clear separation among scales typical of multiscale systems and on expressing the fields as power series in terms of a small ordering parameter related

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to the microscopic length scale. This approach yields to a set of recursive differential problems at the macroscale whose coefficients encode the influence of the underlying microstructure. Today, the technique is widely used to reliably investigate also complex coupled or multifield phenomena involving, for example, piezoelectricity (Andrianov, Kolpakov, & Faella, 2024; Chen, Yvonnet, Yao, & Park, 2021; Fantoni, Bacigalupo, & Paggi, 2017, 2018), thermo-diffusivity (Bacigalupo, Morini, & Piccolroaz, 2016; Fantoni & Bacigalupo, 2020; Salvadori, Bosco, & Grazioli, 2014), viscoelasticity (Bengtsson, Mousavi, Afshar, & Gamstedt, 2023; Del Toro, Bacigalupo, & Paggi, 2019; Del Toro, Fantoni, De Bellis, & Bacigalupo, 2025; Ferreira, Lopes, Leiderman, Araújo, & Pereira, 2023; Yu & Fish, 2002), hygro-mechanics (Bosco, Claessens, & Suiker, 2020; Pal & Ramaswamy, 2023; Vega, Pina, Bosco, Flores, Guzman et al., 2022), poroelasticity (Miller & Penta, 2020, 2025), thermo-elasticity (Préve, Bacigalupo, & Paggi, 2021; Rudoy & Sazhenkov, 2024; Temizer, 2012; Zhang, Zhang, Bi, & Schrefler, 2007), and electro-magneto-elasticity (Böhm, Hudobivnik, Marino, & Wriggers, 2021; Borcea & Bruno, 2001; Christofi, Hadjiloizi, Kalamkarov, & Georgiades, 2022), to cite a few.

The recent spectro-hierarchical approach tackles the set of PDEs arising from the problem of wave propagation in periodic Cauchy materials by means of perturbative techniques, and more precisely by breaking down the equations into an infinite hierarchy of explicitly resolvable problems. The approach is extremely flexible and it has proven to be successful in radically different problems, see e.g. Fortunati (2018). The smallness parameter at the core of the scheme is derived, as it is typical in the context of Nekhoroshev-type arguments, see e.g. Fortunati and Wiggins (2014, 2016), Giorgilli (2003), by the well known decay of the harmonics of real analytic functions appearing in the equation. However, this classical approach is set in a way to exploit the key property of the functions defining density and elasticity tensor, to be rapidly oscillating with a rate ε^{-1} . This ensures the right spectral separation which allows to obtain the main approximation result of Fortunati et al. (2024). It is worth recalling that the importance of this result lies in the possibility to obtain, under suitable assumptions, an approximation error of the actual solution which is superexponentially small with respect to ε^{-1} . This means that, for practical applications, the constructed solution has the potential to be “practically indistinguishable” from the real one. In this work, we demonstrate how the spectro-hierarchical homogenization framework originally introduced in Fortunati et al. (2024) can be applied in a fully constructive manner to a physically-relevant problem, testing its use beyond the stringent smallness threshold for ε established by the theory. Whereas the earlier study established the theoretical foundations of the method, developing the mathematical formalism, proving the associated theorems, and illustrating their implications through a single one-dimensional example, the present contribution aims to advance the technique toward more realistic settings involving heterogeneous Cauchy materials. Building on the theoretical results of the previous paper, we compare the proposed approach with classical asymptotic homogenization, highlighting the respective strengths and limitations of the two methods. The hierarchical scheme proves particularly effective in capturing first- and second-order contributions, thereby resolving wavefront dispersion, microstructure-induced modulations, and localized resonance phenomena that remain invisible at the first-order homogenized scale, providing a richer insight into transient behavior.

Extensive numerical comparisons are carried out among the homogenized solution, the spectro-hierarchical reconstruction, and the fully heterogeneous solution, demonstrating that the hierarchical approach closely replicates the detailed, time-dependent evolution of the displacement fields across multiple instants and excitation frequencies. The results demonstrate that while asymptotic homogenization provides an efficient and reliable macroscopic approximation, the spectro-hierarchical method complements it by capturing fine-scale transient features and microstructural effects that are critical in early-time dynamics and high-frequency excitations. Another important aspect to highlight is that, while in the asymptotic homogenization technique the perturbative approximation of the governing differential equations leads to a cascade of recursive problems in which nonlocal effects are transferred to the body force, the spectro-hierarchical method results in a sequence of recursive differential problems where the body force does not increase in order with respect to that of the previous perturbative step. This feature represents a significant computational advantage. Within the asymptotic homogenization framework, the order of the body force derivative increases with the perturbative step, which may pose significant computational challenges.

The paper is structured as follows. Section 2 presents the governing equations of the elastodynamics for a periodic microstructured material. Section 3 is devoted to the illustration of the asymptotic homogenization technique for this type of material with a detailed description of the related cell problems, down-scaling and up-scaling relations and average field equations of infinite order which, appropriately truncated, lead to the field equations of the equivalent Cauchy medium. The spectro hierarchical approach is detailed in Section 4 in which the hierarchy of differential problems that is studied in the corresponding Fourier space is described. Finally, Section 5 is dedicated to the presentation of the numerical tests performed to compare the two previously described perturbative techniques by focusing on a SiC-based composite and considering both null and non-null initial conditions. Overall, the study establishes a rigorous, systematic framework that bridges homogenized models and fully heterogeneous transient dynamics, providing insights directly applicable to the design of advanced microstructured materials with controlled wave propagation, vibration mitigation, and frequency-selective behavior. This work lays the foundation for further analytical and computational studies of complex transient phenomena in engineered heterogeneous media.

2. Two-scale modeling of periodic heterogeneous elastic materials

One considers a heterogeneous medium having a periodic microstructure whose phases are modeled as linear elastic first-order continua. In a two-dimensional domain, a periodic cell $\mathcal{A} = [0, a] \times [0, \delta a]$, with $\delta \in \mathbb{R} > 0$ can be identified, having characteristic size a . Spatial repetition of micro cell $\mathcal{A}$ along the directions of its periodicity vectors, namely $\mathbf{v}_1 = a\mathbf{e}_1$ and $\mathbf{v}_2 = \delta a\mathbf{e}_2$, allows identifying the domain $\mathcal{L} = [0, L] \times [0, \delta L]$ having structural characteristic size L . This last must be large enough so that $\mathcal{L}$ characterizes a representative portion of the heterogeneous medium and relation $L \gg a$ must hold in order to satisfy the scales separation condition.

Denoting with $\mathbf{x} = x_1 \mathbf{e}_1 + x_2 \mathbf{e}_2$ the position vector of each material point in a two-dimensional space $\{0, \mathbf{e}_1, \mathbf{e}_2\}$ and with t the time variable, in the realm of small strains local balance equation reads

$$\nabla \cdot \boldsymbol{\sigma}(\mathbf{x}, t) + \mathbf{b}(\mathbf{x}, t) = \rho^{(m,a)} \ddot{\mathbf{u}}(\mathbf{x}, t). \quad (1)$$

In Eq. (1), $\mathbf{u}(\mathbf{x}, t) = u_i(\mathbf{x}, t) \mathbf{e}_i$ is the micro displacement field and $\rho^{(m,a)}$ is the $\mathcal{A}$ -periodic mass density, for which apex m is exploited to refer to the microscale. As usual, the double dot notation $(\ddot{\cdot})$ denotes the second derivative with respect to time. Body forces $\mathbf{b}(\mathbf{x}, t)$ are required to exhibit a spatial variability much greater than the micro size a in order to fulfill the scales separation requirement. Even if this is not mandatory, they are here assumed to be $\mathcal{L}$ -periodic and to have a vanishing mean value on the structural domain $\mathcal{L}$. Micro stress tensor $\boldsymbol{\sigma}(\mathbf{x}, t) = \sigma_{ij}(\mathbf{x}, t) \mathbf{e}_i \otimes \mathbf{e}_j$ is constitutively related to the micro small strain tensor $\boldsymbol{\varepsilon}(\mathbf{x}, t) = \text{sym} \nabla \mathbf{u}(\mathbf{x}, t)$ through the relation

$$\boldsymbol{\sigma}(\mathbf{x}, t) = \mathbb{C}^{(m,a)} \boldsymbol{\varepsilon}(\mathbf{x}, t), \quad (2)$$

where $\mathbb{C}^{(m,a)} = C_{ijkl}^{(m,a)} \mathbf{e}_i \otimes \mathbf{e}_j \otimes \mathbf{e}_h \otimes \mathbf{e}_k$ is the $\mathcal{A}$ -periodic fourth order micro elasticity tensor enjoying major and minor symmetries.

As usually done (Fantoni et al., 2017, 2018; Smyshlyaev & Cherednichenko, 2000), periodic cell $\mathcal{A}$ can be rephrased into the unit cell $\mathcal{Q} = [0, 1] \times [0, \delta]$, this last obtained rescaling $\mathcal{A}$ by the size a . This procedure allows to mathematically express the separation of scales through two distinct variables, namely the slow (macro) one $\mathbf{x} \in \mathcal{A}$, and the fast (micro) one $\boldsymbol{\xi} = \frac{\mathbf{x}}{a} \in \mathcal{Q}$. With this in mind, micro constitutive tensor and mass density can be defined over $\mathcal{Q}$ as $\mathbb{C}^{(m,a)} = \mathbb{C}^m \left(\boldsymbol{\xi} = \frac{\mathbf{x}}{a} \right)$ and $\rho^{(m,a)} = \rho^m \left(\boldsymbol{\xi} = \frac{\mathbf{x}}{a} \right)$. Micro field equation comes from the substitution of the constitutive relation (2) into balance Eq. (1) and reads

$$\nabla \cdot \left(\mathbb{C}^m \left(\frac{\mathbf{x}}{a} \right) \nabla \mathbf{u}(\mathbf{x}, t) \right) + \mathbf{b}(\mathbf{x}, t) = \rho^m \left(\frac{\mathbf{x}}{a} \right) \ddot{\mathbf{u}}(\mathbf{x}, t). \quad (3)$$

In order to investigate transient problems of the kind of (3), the field equation must be equipped with appropriate initial conditions, namely

$$\mathbf{u}(\mathbf{x}, t=0) = \mathbf{v}_0(\mathbf{x}), \quad \dot{\mathbf{u}}(\mathbf{x}, t=0) = \mathbf{w}_0(\mathbf{x}). \quad (4)$$

Since any interface Σ between to different phases of periodic cell $\mathcal{Q}$ is treated as perfectly bonded, micro displacement and traction vectors must satisfy the following continuity conditions

$$[[\mathbf{u}]]|_{\boldsymbol{\xi} \in \Sigma} = \mathbf{0}, \quad [[[\mathbb{C}^m \nabla \mathbf{u} \cdot \mathbf{n}]]]|_{\boldsymbol{\xi} \in \Sigma} = \mathbf{0}, \quad (5)$$

where symbol $[[f]]$ denotes the jump of the function f at the interface Σ and $\mathbf{n} = n_i \mathbf{e}_i$ is the outward unit normal to Σ . Introducing the dimensionless vector $\bar{\mathbf{x}} = \frac{\mathbf{x}}{L}$ and the parameter $\varepsilon = \frac{a}{L}$ that expresses the ratio between the micro and the macro characteristic lengths (and, therefore, $\varepsilon \ll 1$ for scales separation condition), micro periodic cell $\mathcal{A}$ can be mapped in the dimensionless space into periodic cell $\bar{\mathcal{A}} = [0, \varepsilon] \times [0, \delta\varepsilon]$, whose characteristic size is ε . Spanning cell $\bar{\mathcal{A}}$ along the directions of dimensionless periodicity vectors $\bar{\mathbf{v}}_1 = \varepsilon \mathbf{e}_1$ and $\bar{\mathbf{v}}_2 = \delta\varepsilon \mathbf{e}_2$, the non dimensional structural domain $\bar{\mathcal{L}} = [0, 1] \times [0, \delta]$ can be defined in the orthogonal reference system $\{O, \bar{\mathbf{x}}_1, \bar{\mathbf{x}}_2\}$. Analogously to what previously done, from periodic cell $\bar{\mathcal{A}}$ it is possible to characterize unit cell $\bar{\mathcal{Q}} = [0, 1] \times [0, \delta]$ in the $\bar{\mathbf{x}}$ -space rescaling $\bar{\mathcal{A}}$ by the dimensionless characteristic size ε . Unit cell $\bar{\mathcal{Q}}$ is defined in the orthogonal reference system $\{O, \bar{\boldsymbol{\xi}}_1, \bar{\boldsymbol{\xi}}_2\}$, where $\bar{\boldsymbol{\xi}} = \frac{\bar{\mathbf{x}}}{\varepsilon}$ represents the dimensionless fast variable. If C_r is a reference elastic stiffness and ρ_r denotes a reference mass density, one defines the following dimensionless quantities

$$\bar{t} := \frac{t}{L} \sqrt{\frac{C_r}{\rho_r}}, \quad \bar{u}_i := \frac{u_i}{L}, \quad \bar{C}_{ijkl}^m \left(\frac{\bar{\mathbf{x}}}{\varepsilon} \right) := \frac{C_{ijkl}^m \left(\frac{\mathbf{x}}{a} \right)}{C_r}, \quad \bar{\rho}^m \left(\frac{\bar{\mathbf{x}}}{\varepsilon} \right) := \frac{\rho^m \left(\frac{\mathbf{x}}{a} \right)}{\rho_r}, \quad \bar{b}_i := b_i \frac{L}{C_r}. \quad (6)$$

Differential problem (3) with initial conditions (4) transforms into

$$\begin{cases} \nabla \cdot \left(\bar{\mathbb{C}}^m \left(\frac{\bar{\mathbf{x}}}{\varepsilon} \right) \nabla \bar{\mathbf{u}}(\bar{\mathbf{x}}, \bar{t}) \right) + \bar{\mathbf{b}}(\bar{\mathbf{x}}, \bar{t}) = \bar{\rho}^m \left(\frac{\bar{\mathbf{x}}}{\varepsilon} \right) \ddot{\bar{\mathbf{u}}}(\bar{\mathbf{x}}, \bar{t}), \\ \bar{\mathbf{u}}(\bar{\mathbf{x}}, \bar{t}=0) = \bar{\mathbf{v}}_0(\bar{\mathbf{x}}), \\ \dot{\bar{\mathbf{u}}}(\bar{\mathbf{x}}, \bar{t}=0) = \bar{\mathbf{w}}_0(\bar{\mathbf{x}}), \end{cases} \quad (7)$$

where now symbols $\nabla(\cdot)$ and $(\ddot{\cdot})$ denote differentiation with respect to the dimensionless space and dimensionless time, respectively. Eq. (7) is equipped with the relative continuity conditions

$$[[\bar{\mathbf{u}}]]|_{\bar{\boldsymbol{\xi}} \in \Sigma_1} = \mathbf{0}, \quad [[[\bar{\mathbb{C}}^m \nabla \bar{\mathbf{u}} \cdot \mathbf{n}]]]|_{\bar{\boldsymbol{\xi}} \in \Sigma_1} = \mathbf{0}, \quad (8)$$

expressing the absence of discontinuities for the displacement and traction vectors across any interface Σ_1 between two different phases in the unit cell $\bar{\mathcal{Q}}$. Because of $\bar{\mathcal{Q}}$ -periodicity of micro elasticity tensor $\bar{\mathbb{C}}^m$ and mass density $\bar{\rho}^m$ and the $\bar{\mathcal{L}}$ -periodicity of volume forces $\bar{\mathbf{b}}$, the dimensionless microscopic displacement field is such that $\bar{\mathbf{u}} = \bar{\mathbf{u}} \left(\bar{\mathbf{x}}, \bar{\boldsymbol{\xi}} = \frac{\bar{\mathbf{x}}}{\varepsilon}, \bar{t} \right)$.

3. Asymptotic homogenization scheme

The asymptotic procedure delineated below allows determining the field equation of the equivalent first-order medium, that is written in terms of the dimensionless macro displacement $\bar{\mathbf{U}} = \bar{U}_i \mathbf{e}_i$. The asymptotic homogenization technique begins by expanding

the micro-displacement field in powers of the parameter ε . This expansion is inserted into the elastodynamic governing equation, as well as into the associated initial and interface conditions. Imposing solvability conditions for $\bar{Q}$ -periodic functions leads to a hierarchy of recursive, non-homogeneous elliptic cell problems. These problems can be solved analytically in simple configurations (e.g., layered media) or, as in the present work, numerically via finite element techniques. Their solutions provide the perturbation functions, which encode the effect of the microstructure. Once these functions are known, the micro-displacement follows from the downscaling relation, expressing it in terms of the perturbation functions, the macro-displacement, and its gradients. Substituting the downscaling relation into the field equation and collecting terms by powers of ε yields the average field equation of infinite order, written solely in terms of the macro-displacement. At zero-order truncation, this represents the elastodynamic equation of a first-order homogenized medium, whose overall elastic tensor and mass density are given in closed form and result in being spatially constant. At an arbitrary order, the obtained macroscopic differential problem involves volume forces that depend on the sensitivities obtained at earlier orders, and whose derivative grows as the perturbation order increases. The work also presents the solutions of the macroscopic elastodynamic problems at the different orders by applying the spatial Fourier transform, converting the PDE system into ODEs in time; the inverse transform then reconstructs the macro-displacement sensitivities in space and time. The analytical and/or computational burden of solving the PDE (3), characterized by rapidly oscillating coefficients, is thus overcome in a concise way that accurately takes into account the role of the underlying microstructure. The microstructural response can subsequently be reconstructed by using the appropriately truncated downscaling relation.

3.1. Asymptotic expansion of the micro displacement field, cell problems, down-scaling and up-scaling relations

According to the approach developed in Bakhvalov and Panasenko (1984), the contributions of the fast and slow variables in the determination of the micro displacement $\bar{\mathbf{u}}(\bar{\mathbf{x}}, \bar{\xi} = \frac{\bar{\mathbf{x}}}{\varepsilon}, \bar{t})$ can be separated by performing an asymptotic expansion of $\bar{\mathbf{u}}$ in powers of the parameter ε (with $\varepsilon \ll 1$), namely

$$\bar{\mathbf{u}}\left(\bar{\mathbf{x}}, \bar{\xi} = \frac{\bar{\mathbf{x}}}{\varepsilon}, \bar{t}\right) \sim \bar{\mathbf{u}}^{(0)}\left(\bar{\mathbf{x}}, \bar{\xi} = \frac{\bar{\mathbf{x}}}{\varepsilon}, \bar{t}\right) + \varepsilon \bar{\mathbf{u}}^{(1)}\left(\bar{\mathbf{x}}, \bar{\xi} = \frac{\bar{\mathbf{x}}}{\varepsilon}, \bar{t}\right) + O(\varepsilon^2). \quad (9)$$

Defining the total derivative of a function $g(\bar{\mathbf{x}}, \bar{\xi}, \bar{t})$ with respect to $\bar{x}_k$ as

$$\frac{Dg(\bar{\mathbf{x}}, \bar{\xi} = \frac{\bar{\mathbf{x}}}{\varepsilon}, \bar{t})}{D\bar{x}_k} = \left(\frac{\partial g(\bar{\mathbf{x}}, \bar{\xi}, \bar{t})}{\partial \bar{x}_k} + \frac{\partial g(\bar{\mathbf{x}}, \bar{\xi}, \bar{t})}{\partial \bar{\xi}_k} \frac{\partial \bar{\xi}_k}{\partial \bar{x}_k} \right) \bigg|_{\bar{\xi} = \frac{\bar{\mathbf{x}}}{\varepsilon}} = \left(\frac{\partial g(\bar{\mathbf{x}}, \bar{\xi}, \bar{t})}{\partial \bar{x}_k} + \frac{1}{\varepsilon} g_{,k} \right) \bigg|_{\bar{\xi} = \frac{\bar{\mathbf{x}}}{\varepsilon}}, \quad (10)$$

one has that, in view of (9), the total derivative of the component $\bar{u}_h$ with respect to $\bar{x}_k$ is

$$\frac{D\bar{u}_h(\bar{\mathbf{x}}, \bar{\xi} = \frac{\bar{\mathbf{x}}}{\varepsilon}, \bar{t})}{D\bar{x}_k} = \left[\frac{\partial \bar{u}_h^{(0)}}{\partial \bar{x}_k} + \varepsilon \frac{\partial \bar{u}_h^{(1)}}{\partial \bar{x}_k} + \dots + \frac{1}{\varepsilon} \left(\bar{u}_{h,k}^{(0)} + \varepsilon \bar{u}_{h,k}^{(1)} + \dots \right) \right] \bigg|_{\bar{\xi} = \frac{\bar{\mathbf{x}}}{\varepsilon}}. \quad (11)$$

Initial values differential problem (3), therefore, assumes the following form, expressed componentwise, after reordering at the different orders of ε

$$\left\{ \begin{aligned} & \left(\varepsilon^{-2} \left(\bar{C}_{ijhk}^{(0)} \bar{u}_{h,k}^{(0)} \right)_{,j} + \varepsilon^{-1} \left\{ \left[\bar{C}_{ijhk}^{(0)} \left(\frac{\partial \bar{u}_h^{(0)}}{\partial \bar{x}_k} + \bar{u}_{h,k}^{(1)} \right) \right]_{,j} + \frac{\partial}{\partial \bar{x}_j} \left(\bar{C}_{ijhk}^{(0)} \bar{u}_{h,k}^{(0)} \right) \right\} + \right. \\ & \left[\bar{C}_{ijhk}^{(1)} \left(\frac{\partial \bar{u}_h^{(1)}}{\partial \bar{x}_k} + \bar{u}_{h,k}^{(2)} \right) \right]_{,j} + \frac{\partial}{\partial \bar{x}_j} \left[\bar{C}_{ijhk}^{(1)} \left(\frac{\partial \bar{u}_h^{(0)}}{\partial \bar{x}_k} + \bar{u}_{h,k}^{(1)} \right) \right] + \\ & + \varepsilon \left\{ \left[\bar{C}_{ijhk}^{(2)} \left(\frac{\partial \bar{u}_h^{(2)}}{\partial \bar{x}_k} + \bar{u}_{h,k}^{(3)} \right) \right]_{,j} + \frac{\partial}{\partial \bar{x}_j} \left[\bar{C}_{ijhk}^{(2)} \left(\frac{\partial \bar{u}_h^{(1)}}{\partial \bar{x}_k} + \bar{u}_{h,k}^{(2)} \right) \right] \right\} + \\ & \left. - \bar{\rho}^m \frac{\partial^2 \bar{u}_i^{(0)}}{\partial \bar{t}^2} - \varepsilon \bar{\rho}^m \frac{\partial^2 \bar{u}_i^{(1)}}{\partial \bar{t}^2} + O(\varepsilon^2) \right) \bigg|_{\bar{\xi} = \frac{\bar{\mathbf{x}}}{\varepsilon}} + \bar{b}_i(\bar{\mathbf{x}}, \bar{t}) = 0, \\ & \bar{u}_h^{(0)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0) + \varepsilon \bar{u}_h^{(1)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0) + O(\varepsilon^2) = \bar{v}_{h_0}(\bar{\mathbf{x}}), \\ & \frac{\partial \bar{u}_h^{(0)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0)}{\partial \bar{t}} + \varepsilon \frac{\partial \bar{u}_h^{(1)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0)}{\partial \bar{t}} + O(\varepsilon^2) = \bar{w}_{h_0}(\bar{\mathbf{x}}). \end{aligned} \right. \quad (12)$$

According to the asymptotic expansion (9), also interface conditions (8) can be rewritten as

$$\begin{aligned} & \left[\left[\bar{u}_h^{(0)} \right] \right]_{\bar{\xi} \in \Sigma_1} + \varepsilon \left[\left[\bar{u}_h^{(1)} \right] \right]_{\bar{\xi} \in \Sigma_1} + O(\varepsilon^2) = 0, \\ & \frac{1}{\varepsilon} \left[\left[\bar{C}_{ijhk}^{(0)} \bar{u}_{h,k}^{(0)} n_j \right] \right]_{\bar{\xi} \in \Sigma_1} + \left[\left[\bar{C}_{ijhk}^{(1)} \left(\frac{\partial \bar{u}_h^{(0)}}{\partial \bar{x}_k} + \bar{u}_{h,k}^{(1)} \right) n_j \right] \right]_{\bar{\xi} \in \Sigma_1} + \\ & + \varepsilon \left[\left[\bar{C}_{ijhk}^{(2)} \left(\frac{\partial \bar{u}_h^{(1)}}{\partial \bar{x}_k} + \bar{u}_{h,k}^{(2)} \right) n_j \right] \right]_{\bar{\xi} \in \Sigma_1} + O(\varepsilon^2) = 0. \end{aligned} \quad (13)$$

Eqs. (12) can be concisely rewritten as

$$\begin{cases} \varepsilon^{-2} f_i^{(0)}(\bar{\mathbf{x}}, \bar{t}) + \varepsilon^{-1} f_i^{(1)}(\bar{\mathbf{x}}, \bar{t}) + f_i^{(2)}(\bar{\mathbf{x}}, \bar{t}) + \dots + \varepsilon^l f_i^{(l+2)}(\bar{\mathbf{x}}, \bar{t}) + \bar{b}_i(\bar{\mathbf{x}}, \bar{t}) = 0, \\ \bar{u}_h^{(0)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0) + \varepsilon \bar{u}_h^{(1)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0) + O(\varepsilon^2) = \bar{v}_{h_0}(\bar{\mathbf{x}}), \\ \frac{\partial \bar{u}_h^{(0)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0)}{\partial \bar{t}} + \varepsilon \frac{\partial \bar{u}_h^{(1)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0)}{\partial \bar{t}} + O(\varepsilon^2) = \bar{w}_{h_0}(\bar{\mathbf{x}}), \end{cases} \quad (14)$$

where $f_h^{(j)}$, with $j = 0, \dots, l+2$, represent the volume forces of the relative micro differential problem and, as such, they spatially depend only on the slow variable. As detailed in Appendix A, they are subsequently obtained imposing solvability conditions in the class of $\bar{Q}$ -periodic functions. A series of non-homogeneous, elliptic differential problems in divergence form are thus derived, known as cell problems. They are here detailed up to order ε^0 , since derivation of higher-order cell problems can be obtained following the procedure here delineated without introducing further difficulties (Fantoni & Bacigalupo, 2020; Fantoni et al., 2017). Cell problems are expressed in terms of smooth, $\bar{Q}$ -periodic perturbation functions (also known as micro-fluctuation functions), whose uniqueness is guaranteed by requiring them to have a vanishing mean value over $\bar{Q}$, namely the condition

$$\langle (\cdot) \rangle = \frac{1}{|\bar{Q}|} \int_{\bar{Q}} (\cdot) d\bar{\xi} = 0, \quad (15)$$

must hold for all perturbation functions. These last are those that take into account the role of the microstructural heterogeneity and, as such, depend upon physico-mechanical and geometrical features of the microstructure at hand. They are functions of the fast variable $\bar{\xi}$, as well as of time. Cell problem at the order ε^{-1} and its interface conditions reads

$$\begin{aligned} \left(\bar{C}_{ijhk}^{(1)} \bar{N}_{hpq_1,k}^{(1)} \right)_{,j} + \bar{C}_{ijpq_1,j}^{(1)} &= 0, \\ \left[\left[\bar{N}_{hpq_1}^{(1)} \right] \right]_{\bar{\xi} \in \Sigma_1} &= 0, \\ \left[\left[\bar{C}_{ijhk}^{(1)} \left(\bar{N}_{hpq_1,k}^{(1)} + \delta_{kq_1} \delta_{hp} \right) n_j \right] \right]_{\bar{\xi} \in \Sigma_1} &= 0, \end{aligned} \quad (16)$$

where $\bar{N}_{hpq_1}^{(1)}$ is the perturbation function and symbol δ_{kq_1} stands for the Kronecker delta. Once $\bar{N}_{hpq_1}^{(1)}$ is known, at the order ε^0 , the following two cell problems can be attained, together with their interface conditions. The first one, whose perturbation function is called $\bar{N}_{hpq_1q_2}^{(2)}$, is here written in a symmetrized form with respect to indexes q_1 and q_2 and reads

$$\begin{aligned} \left(\bar{C}_{ijhk}^{(2)} \bar{N}_{hpq_1q_2,k}^{(2)} \right)_{,j} + \frac{1}{2} \left[\left(\bar{C}_{ijhq_2}^{(1)} \bar{N}_{hpq_1}^{(1)} + \bar{C}_{ijhq_1}^{(1)} \bar{N}_{hpq_2}^{(1)} \right)_{,j} + \bar{C}_{iq_1pq_2}^{(1)} + \bar{C}_{iq_2pq_1}^{(1)} + \bar{C}_{iq_2hj}^{(1)} \bar{N}_{hpq_1,j}^{(1)} + \right. \\ \left. + \bar{C}_{iq_1hj}^{(1)} \bar{N}_{hpq_2,j}^{(1)} \right] = \frac{1}{2} \left[\bar{C}_{iq_1pq_2}^{(1)} + \bar{C}_{iq_2hj}^{(1)} \bar{N}_{hpq_1,j}^{(1)} + \bar{C}_{iq_2pq_1}^{(1)} + \bar{C}_{iq_1hj}^{(1)} \bar{N}_{hpq_2,j}^{(1)} \right], \\ \left[\left[\bar{N}_{hpq_1q_2}^{(2)} \right] \right]_{\bar{\xi} \in \Sigma_1} &= 0, \\ \left[\left[\bar{C}_{ijhk}^{(2)} \left\{ \bar{N}_{hpq_1q_2,k}^{(2)} + \frac{1}{2} \left(\delta_{q_2k} \bar{N}_{hpq_1}^{(1)} + \delta_{q_1k} \bar{N}_{hpq_2}^{(1)} \right) \right\} n_j \right] \right]_{\bar{\xi} \in \Sigma_1} &= 0. \end{aligned} \quad (17)$$

The second cell problem arising at the order ε^0 and its interface conditions are

$$\begin{aligned} \left(\bar{C}_{ijhk}^{(2,2)} \bar{N}_{hp,k}^{(2,2)} \right)_{,j} - \bar{\rho}^m \delta_{ip} &= - \langle \bar{\rho}^m \rangle \delta_{ip}, \\ \left[\left[\bar{N}_{hp}^{(2,2)} \right] \right]_{\bar{\xi} \in \Sigma_1} &= 0, \\ \left[\left[\bar{C}_{ijhk}^{(2,2)} \bar{N}_{hp}^{(2,2)} n_j \right] \right]_{\bar{\xi} \in \Sigma_1} &= 0, \end{aligned} \quad (18)$$

where $\bar{N}_{hp}^{(2,2)}$ is the relative perturbation function. After solving cell problems at the different orders of ε , expansion (9) takes the form of the so-called down-scaling relation, which describes the microfield $\bar{\mathbf{u}}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t})$ in terms of the macro displacement $\bar{\mathbf{U}}(\bar{\mathbf{x}}, \bar{t})$ and its gradients through perturbation functions. Down-scaling relation makes thus evident the separation of the macro and micro variables and reads

$$\begin{aligned} \bar{\mathbf{u}}_h(\bar{\mathbf{x}}, \bar{\xi}, \bar{t}) = \left[\bar{\mathbf{U}}_h(\bar{\mathbf{x}}, \bar{t}) + \varepsilon \bar{N}_{hpq_1}^{(1)}(\bar{\xi}, \bar{t}) \frac{\partial \bar{\mathbf{U}}_p(\bar{\mathbf{x}}, \bar{t})}{\partial \bar{x}_{q_1}} + \right. \\ \left. + \varepsilon^2 \left(\bar{N}_{hpq_1q_2}^{(2)}(\bar{\xi}, \bar{t}) \frac{\partial^2 \bar{\mathbf{U}}_p(\bar{\mathbf{x}}, \bar{t})}{\partial \bar{x}_{q_1} \partial \bar{x}_{q_2}} + \bar{N}_{hp}^{(2,2)}(\bar{\xi}, \bar{t}) \frac{\partial^2 \bar{\mathbf{U}}_p(\bar{\mathbf{x}}, \bar{t})}{\partial \bar{t}^2} \right) + O(\varepsilon^3) \right]_{\bar{\xi} = \frac{\bar{\mathbf{x}}}{\varepsilon}}. \end{aligned} \quad (19)$$

Macro field $\bar{\mathbf{U}}(\bar{\mathbf{x}}, \bar{t})$, in turn, is expressed in terms of the micro displacement $\bar{\mathbf{u}}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t})$ as the mean value of this last over $\bar{Q}$. Accordingly, the up-scaling relation takes the form

$$\bar{\mathbf{U}}_h(\bar{\mathbf{x}}, \bar{t}) := \langle \bar{\mathbf{u}}_h(\bar{\mathbf{x}}, \bar{\xi} + \bar{\xi}, \bar{t}) \rangle_{\bar{\xi}}, \quad (20)$$

where dimensionless translation variable $\tilde{\zeta} \in \tilde{Q}$ allows removing rapid fluctuations of coefficients (Bacigalupo, 2014; Smyshlyaev & Cherednichenko, 2000) and is such that $\varepsilon \tilde{\zeta} \in \tilde{\mathcal{A}}$. For all $\tilde{Q}$ -periodic functions g , in fact, the following invariance property holds

$$\langle g(\tilde{\xi} + \tilde{\zeta}) \rangle_{\tilde{\zeta}} = \frac{1}{|\tilde{Q}|} \int_{\tilde{Q}} g(\tilde{\xi} + \tilde{\zeta}) d\tilde{\zeta} = \frac{1}{|\tilde{Q}|} \int_{\tilde{Q}} g(\tilde{\xi}) d\tilde{\xi}. \quad (21)$$

3.2. Average field equation of infinite order

Average field equation of infinite order comes from the substitution of down-scaling relation (19) into the micro field Eq. (7). After reordering at the different orders of ε , it is expressed in the following form, together with initial conditions

$$\begin{cases} \tilde{n}_{ipq_1q_2}^{(2)} \frac{\partial^2 \tilde{U}_p}{\partial \tilde{x}_{q_1} \partial \tilde{x}_{q_2}} - \tilde{n}^{(2,2)} \frac{\partial^2 \tilde{U}_i}{\partial \tilde{t}^2} + O(\varepsilon) + \tilde{b}_i(\tilde{\mathbf{x}}, \tilde{t}) = 0, \\ \tilde{U}_i(\tilde{\mathbf{x}}, \tilde{t} = 0) + O(\varepsilon) = \tilde{v}_{0_i}(\tilde{\mathbf{x}}), \\ \frac{\partial \tilde{U}_i}{\partial \tilde{t}}(\tilde{\mathbf{x}}, \tilde{t} = 0) + O(\varepsilon) = \tilde{w}_{0_i}(\tilde{\mathbf{x}}). \end{cases} \quad (22)$$

Coefficients $\tilde{n}_{ipq_1q_2}^{(2)}$ and $\tilde{n}^{(2,2)}$ of macro displacement gradients are the known terms of the relative cell problems at the order ε^0 and they are expressed as the mean value over $\tilde{Q}$ of linear combinations of micro elasticity tensor components, mass density, and perturbation functions. They read

$$\begin{aligned} \tilde{n}_{ipq_1q_2}^{(2)} &= \frac{1}{2} \left\langle \tilde{C}_{iq_2pq_1}^m + \tilde{C}_{iq_2hk}^m \tilde{N}_{hpk_1,k}^{(1)} + \tilde{C}_{iq_1pq_2}^m + \tilde{C}_{iq_1hk}^m \tilde{N}_{hpk_2,k}^{(1)} \right\rangle, \\ \tilde{n}^{(2,2)} &= \langle \tilde{\rho}^m \rangle. \end{aligned} \quad (23)$$

In order to formally solve the average field equation of infinite order (22), one considers dimensionless macro displacement $\tilde{U}(\tilde{\mathbf{x}}, \tilde{t})$ asymptotically expanded in powers of parameter ε , namely

$$\tilde{U}_i(\tilde{\mathbf{x}}, \tilde{t}) = \sum_{j \in \mathbb{N}} \varepsilon^j \tilde{U}_i^{(j)}(\tilde{\mathbf{x}}, \tilde{t}). \quad (24)$$

In this way, a series of recursive macro differential problems can be obtained in terms of sensitivities $\tilde{U}^{(j)}$ by substituting expansion (24) into (22). They read

$$\begin{aligned} \tilde{n}_{ipq_1q_2}^{(2)} \left(\frac{\partial^2 \tilde{U}_p^{(0)}}{\partial \tilde{x}_{q_1} \partial \tilde{x}_{q_2}} + \varepsilon \frac{\partial^2 \tilde{U}_p^{(1)}}{\partial \tilde{x}_{q_1} \partial \tilde{x}_{q_2}} + \varepsilon^2 \frac{\partial^2 \tilde{U}_p^{(2)}}{\partial \tilde{x}_{q_1} \partial \tilde{x}_{q_2}} + \dots \right) + \\ - \tilde{n}^{(2,2)} \left(\frac{\partial^2 \tilde{U}_i^{(0)}}{\partial \tilde{t}^2} + \varepsilon \frac{\partial^2 \tilde{U}_i^{(1)}}{\partial \tilde{t}^2} + \varepsilon^2 \frac{\partial^2 \tilde{U}_i^{(2)}}{\partial \tilde{t}^2} + \dots \right) + \dots + \tilde{b}_i(\tilde{\mathbf{x}}, \tilde{t}) = 0. \end{aligned} \quad (25)$$

From Eq. (25), after reordering at the different orders of ε , one has

$$\begin{aligned} \varepsilon^0 \left(\tilde{n}_{ipq_1q_2}^{(2)} \frac{\partial^2 \tilde{U}_p^{(0)}}{\partial \tilde{x}_{q_1} \partial \tilde{x}_{q_2}} - \tilde{n}^{(2,2)} \frac{\partial^2 \tilde{U}_i^{(0)}}{\partial \tilde{t}^2} + \tilde{b}_i(\tilde{\mathbf{x}}, \tilde{t}) \right) + \varepsilon \left(\tilde{n}_{ipq_1q_2}^{(2)} \frac{\partial^2 \tilde{U}_p^{(1)}}{\partial \tilde{x}_{q_1} \partial \tilde{x}_{q_2}} - \tilde{n}^{(2,2)} \frac{\partial^2 \tilde{U}_i^{(1)}}{\partial \tilde{t}^2} + \tilde{b}_i^{(1)}(\tilde{\mathbf{x}}, \tilde{t}) \right) + \\ + \varepsilon^2 \left(\tilde{n}_{ipq_1q_2}^{(2)} \frac{\partial^2 \tilde{U}_p^{(2)}}{\partial \tilde{x}_{q_1} \partial \tilde{x}_{q_2}} - \tilde{n}^{(2,2)} \frac{\partial^2 \tilde{U}_i^{(2)}}{\partial \tilde{t}^2} + \tilde{b}_i^{(2)}(\tilde{\mathbf{x}}, \tilde{t}) \right) + O(\varepsilon^3) = 0 \end{aligned} \quad (26)$$

At the order ε^0 , the differential problem at the macroscale is expressed in terms of the sensitivity $\tilde{U}^{(0)}$ and, together with its initial conditions, it reads

$$\begin{cases} \tilde{n}_{ipq_1q_2}^{(2)} \frac{\partial^2 \tilde{U}_p^{(0)}}{\partial \tilde{x}_{q_1} \partial \tilde{x}_{q_2}} - \tilde{n}^{(2,2)} \frac{\partial^2 \tilde{U}_i^{(0)}}{\partial \tilde{t}^2} + \tilde{b}_i(\tilde{\mathbf{x}}, \tilde{t}) = 0, \\ \tilde{U}_i^{(0)}(\tilde{\mathbf{x}}, \tilde{t} = 0) = \tilde{v}_{0_i}(\tilde{\mathbf{x}}), \\ \frac{\partial \tilde{U}_i^{(0)}}{\partial \tilde{t}}(\tilde{\mathbf{x}}, \tilde{t} = 0) = \tilde{w}_{0_i}(\tilde{\mathbf{x}}). \end{cases} \quad (27)$$

At generic order ε^m , with $m \in \mathbb{Z}_{\geq 1}$, the governing macro differential problem can be expressed in terms of sensitivity $\tilde{U}^{(m)}$ as

$$\begin{cases} \tilde{n}_{ipq_1q_2}^{(2)} \frac{\partial^2 \tilde{U}_p^{(m)}}{\partial \tilde{x}_{q_1} \partial \tilde{x}_{q_2}} - \tilde{n}^{(2,2)} \frac{\partial^2 \tilde{U}_i^{(m)}}{\partial \tilde{t}^2} + \tilde{b}_i^{(m)}(\tilde{\mathbf{x}}, \tilde{t}) = 0, \\ \tilde{U}_i^{(m)}(\tilde{\mathbf{x}}, \tilde{t} = 0) = 0, \\ \frac{\partial \tilde{U}_i^{(m)}}{\partial \tilde{t}}(\tilde{\mathbf{x}}, \tilde{t} = 0) = 0, \end{cases} \quad (28)$$

where $\tilde{b}^{(m)}$ is the known volume force vector depending on sensitivities $\tilde{U}^{(r)}$, with $r < m$ and on higher-order constitutive tensors $\tilde{\mathbf{n}}^{(s)}$ and $\tilde{\mathbf{n}}^{(s,2)}$ with $s \geq m$ arising from the average field equation of infinite order. In this way, higher-order differential problems (28) remain characterized by symmetric and positive definite constitutive tensors (the proof is given in Section 3.3). Their resolution

allows for improving the solution of the heterogeneous problem since the average field equation of infinite order is asymptotically equivalent to the governing one of the heterogeneous medium, and source terms of higher-order differential problems allow taking into account non-local effects related to the microstructural length scale. If source terms are $\bar{\mathcal{L}}$ -periodic, normalization condition

$$\frac{1}{|\bar{\mathcal{L}}|} \int_{\bar{\mathcal{L}}} \bar{U}_i^{(m)}(\bar{\mathbf{x}}, \bar{t}) d\bar{\mathbf{x}} = 0 \quad (29)$$

needs to be satisfied $\forall m \in \mathbb{Z}$ in order to guarantee the uniqueness of the solution of the differential problem at the order ε^m . But $\bar{\mathcal{L}}$ -periodicity is not a mandatory requirement for $\bar{\mathbf{b}}^{(m)}$; if it is not satisfied appropriate boundary conditions must substitute Eq. (29). What is compulsory for volume forces is their independence on the micro variable $\bar{\xi}$ in order to preserve the scales separation condition. One way to find a solution for transient macro differential problems (27)–(28) is resorting the Fourier transform (Paley & Wiener, 1934), in order to turn partial differential equations involved in (27)–(28) into second-order ordinary differential equations in time. Fourier transform of a real-valued function $f(\bar{\mathbf{x}}, \bar{t})$ is defined as

$$\mathcal{F}(f(\bar{\mathbf{x}}, \bar{t})) := \int_{\mathbb{R}^2} f(\bar{\mathbf{x}}, \bar{t}) e^{-i\bar{\mathbf{k}} \cdot \bar{\mathbf{x}}} d\bar{\mathbf{x}} = \check{f}(\bar{\mathbf{k}}, \bar{t}), \quad (30)$$

where Fourier argument $\bar{\mathbf{k}} \in \mathbb{R}^2$ and i is the imaginary unit such that $i^2 = -1$. Taking into account the derivation rule $\mathcal{F}\left(\frac{\partial^n f(\bar{\mathbf{x}}, \bar{t})}{\partial \bar{x}_j^n}\right) = (i\bar{k}_j)^n \mathcal{F}(f(\bar{\mathbf{x}}, \bar{t}))$, the problem (27) is transformed into

$$\begin{cases} -\bar{k}_{q_1} \bar{k}_{q_2} \bar{n}_{ipq_1q_2}^{(2)} \check{U}_p^{(0)}(\bar{\mathbf{k}}, \bar{t}) - \bar{n}^{(2,2)} \frac{\partial^2 \check{U}_i^{(0)}(\bar{\mathbf{k}}, \bar{t})}{\partial^2 \bar{t}} + \check{b}_i = 0, \\ \check{U}_i^{(0)}(\bar{\mathbf{k}}, \bar{t} = 0) = \check{v}_{0_i}(\bar{\mathbf{k}}), \\ \frac{\partial \check{U}_i^{(0)}(\bar{\mathbf{k}}, \bar{t})}{\partial \bar{t}} = \check{w}_{0_i}(\bar{\mathbf{k}}) \end{cases} \quad (31)$$

Analogously, the higher-order differential problem (28) becomes

$$\begin{cases} -\bar{k}_{q_1} \bar{k}_{q_2} \bar{n}_{ipq_1q_2}^{(2)} \check{U}_p^{(m)}(\bar{\mathbf{k}}, \bar{t}) - \bar{n}^{(2,2)} \frac{\partial^2 \check{U}_i^{(m)}(\bar{\mathbf{k}}, \bar{t})}{\partial^2 \bar{t}} + \check{b}_i^{(m)} = 0, \\ \check{U}_i^{(m)}(\bar{\mathbf{k}}, \bar{t} = 0) = 0, \\ \frac{\partial \check{U}_i^{(m)}(\bar{\mathbf{k}}, \bar{t})}{\partial \bar{t}} = 0 \end{cases} \quad (32)$$

Denoting with $\check{\mathbf{r}}^{(0)} = \left[\frac{\partial \check{U}_i^{(0)}}{\partial \bar{t}} \quad \check{\mathbf{U}}^{(0)} \right]^T$, the second-order ODE involved in (31) can be transformed into a first-order one and represented in the state space as

$$\mathbf{M} \dot{\check{\mathbf{r}}}^{(0)} + \mathbf{N}(\bar{\mathbf{k}}) \check{\mathbf{r}}^{(0)} + \mathbf{d} = \mathbf{0}. \quad (33)$$

In Eq. (33), $\mathbf{M}$ is a diagonal non singular square block matrix, $\mathbf{N}$ is a $\bar{\mathbf{k}}$ -dependent block matrix, and $\mathbf{d}$ is a vector containing in its first block the Fourier transform of the volume force vector $\bar{\mathbf{b}}$ appearing in the zeroth-order problem (27), namely

$$\mathbf{M} = \begin{bmatrix} \mathbf{A}^{(2,2)} & \mathbf{0} \\ \mathbf{0} & \mathbf{I} \end{bmatrix}, \quad \mathbf{N}(\bar{\mathbf{k}}) = \begin{bmatrix} \mathbf{0} & \mathbf{B}^{(2)}(\bar{\mathbf{k}}) \\ -\mathbf{I} & \mathbf{0} \end{bmatrix}, \quad \mathbf{d} = \begin{bmatrix} \check{\mathbf{b}} \\ \mathbf{0} \end{bmatrix}. \quad (34)$$

Matrices $\mathbf{A}^{(2,2)}$ and $\mathbf{B}^{(2)}(\bar{\mathbf{k}})$ are respectively expressed in terms of coefficients $\bar{n}^{(2,2)}$ and $\bar{n}_{ipq_1q_2}^{(2)}$ as

$$\mathbf{A}^{(2,2)} = - \begin{bmatrix} \bar{n}^{(2,2)} & 0 \\ 0 & \bar{n}^{(2,2)} \end{bmatrix}, \quad \mathbf{B}^{(2)}(\bar{\mathbf{k}}) = - \begin{bmatrix} \bar{k}_1^2 \bar{n}_{1111}^{(2)} + \bar{k}_2^2 \bar{n}_{1122}^{(2)} & 2\bar{k}_1 \bar{k}_2 \bar{n}_{1212}^{(2)} \\ 2\bar{k}_1 \bar{k}_2 \bar{n}_{2112}^{(2)} & \bar{k}_1^2 \bar{n}_{2211}^{(2)} + \bar{k}_2^2 \bar{n}_{2222}^{(2)} \end{bmatrix}. \quad (35)$$

In a completely analogous way, denoting with $\check{\mathbf{r}}^{(m)} = \left[\frac{\partial \check{U}_i^{(m)}}{\partial \bar{t}} \quad \check{\mathbf{U}}^{(m)} \right]^T$, the ODE in (32) becomes

$$\mathbf{M} \dot{\check{\mathbf{r}}}^{(m)} + \mathbf{N}(\bar{\mathbf{k}}) \check{\mathbf{r}}^{(m)} + \mathbf{d}^{(m)} = \mathbf{0}, \quad (36)$$

with $\mathbf{M}$ and $\mathbf{N}$ defined as in (34), and $\mathbf{d}^{(m)}$ a vector containing in its first block the Fourier transform of volume forces $\bar{\mathbf{b}}^{(m)}$, namely $\mathbf{d}^{(m)} = [\check{\mathbf{b}}^{(m)} \quad \mathbf{0}]^T$. The solutions $\check{\mathbf{r}}^{(0)}$ of (33) and $\check{\mathbf{r}}^{(m)}$ of (36) can formally be expressed as

$$\begin{aligned} \check{\mathbf{r}}^{(0)}(\bar{\mathbf{k}}, \bar{t}) &= \exp[-\mathbf{M}^{-1} \mathbf{N} \bar{t}] \check{\mathbf{r}}_0^{(0)}(\bar{\mathbf{k}}) + \int_0^{\bar{t}} \exp[-\mathbf{M}^{-1} \mathbf{N}(\bar{t} - \bar{\tau})] (-\mathbf{M}^{-1} \mathbf{d}) d\bar{\tau}, \\ \check{\mathbf{r}}^{(m)}(\bar{\mathbf{k}}, \bar{t}) &= \exp[-\mathbf{M}^{-1} \mathbf{N} \bar{t}] \check{\mathbf{r}}_0^{(m)}(\bar{\mathbf{k}}) + \int_0^{\bar{t}} \exp[-\mathbf{M}^{-1} \mathbf{N}(\bar{t} - \bar{\tau})] (-\mathbf{M}^{-1} \mathbf{d}^{(m)}) d\bar{\tau}, \end{aligned} \quad (37)$$

where $\exp[\cdot]$ denotes the matrix exponential, and $\check{\mathbf{r}}_0^{(0)}$ and $\check{\mathbf{r}}_0^{(m)}$ are vectors containing the Fourier transforms of the initial conditions of the relative transient problem, namely $\check{\mathbf{r}}_0^{(0)} = [\check{\mathbf{w}}_0 \quad \check{\mathbf{v}}_0]^T$ and $\check{\mathbf{r}}_0^{(m)} = [\mathbf{0} \quad \mathbf{0}]^T$. By applying the inverse Fourier transform

$$\mathcal{F}^{-1}(\check{f}(\bar{\mathbf{k}}, \bar{t})) := \frac{1}{(2\pi)^2} \int_{\mathbb{R}^2} \check{f}(\bar{\mathbf{k}}, \bar{t}) e^{i\bar{\mathbf{k}} \cdot \bar{\mathbf{x}}} d\bar{\mathbf{k}} = f(\bar{\mathbf{x}}, \bar{t}), \quad (38)$$

to Eqs. (37), it is possible to obtain $\mathbf{r}^{(0)}(\bar{\mathbf{x}}, \bar{t})$ and $\mathbf{r}^{(m)}(\bar{\mathbf{x}}, \bar{t})$, and thus the sensitivities $\bar{\mathbf{U}}^{(j)}$ and $\partial \bar{\mathbf{U}}^{(j)} / \partial \bar{t}$ and, thanks to Eq. (9), reconstruct the solution at the different orders of ε . One has

$$\mathbf{r}(\bar{\mathbf{x}}, \bar{t}) = \begin{bmatrix} \frac{\partial \bar{\mathbf{U}}}{\partial \bar{t}}(\bar{\mathbf{x}}, \bar{t}) \\ \bar{\mathbf{U}}(\bar{\mathbf{x}}, \bar{t}) \end{bmatrix} = \sum_{j \in \mathbb{N}} \varepsilon^j \left[\mathcal{F}^{-1} \left(\exp \left[-\mathbf{M}^{-1} \mathbf{N} \bar{t} \right] \right) * \mathbf{r}_0^{(j)}(\bar{\mathbf{x}}) + \int_0^{\bar{t}} \mathcal{F}^{-1} \left(\exp \left[-\mathbf{M}^{-1} \mathbf{N} (\bar{t} - \bar{\tau}) \right] \right) * \mathcal{F}^{-1} \left(-\mathbf{M}^{-1} \mathbf{d}^{(j)} \right) d\bar{\tau} \right], \quad (39)$$

where symbol $*$ denotes the convolution product with an appropriate tensor index contraction.

3.3. Field equation of the first-order equivalent medium

If expansion (24) is truncated at the zeroth-order, namely $\bar{\mathbf{U}}(\bar{\mathbf{x}}, \bar{t}) \approx \bar{\mathbf{U}}^{(0)}(\bar{\mathbf{x}}, \bar{t})$, and the average field equation of infinite order (22) is consistently truncated at the same order in ε , one can obtain a hyperbolic partial differential equation that is equivalent to the field equation of the first-order equivalent medium. In order to show the formal analogy existing between the first of Eqs. (27) and the field equation of a first-order homogenized medium, it is necessary to perform a manipulation of the first of Eqs. (23) together with a suitable weak form of cell problem (16) at the order ε^{-1} . Specifically, the weak form can be written through multiplication of the first of Eqs. (16) by the test function $\bar{N}_{riq_2}^{(1)}$. Thanks to the divergence theorem and $\mathcal{Q}$ -periodicity of micro elasticity tensor and perturbation functions, the obtained weak form reads

$$\left\langle \left(\bar{C}_{rjkh}^m \bar{N}_{hpq_1,k}^{(1)} + \bar{C}_{rjpq_1}^m \right) \bar{N}_{riq_2,j}^{(1)} \right\rangle = 0. \quad (40)$$

By adding to the first of Eqs. (23) the vanishing expression (40) and the one obtained from it exchanging indexes q_1 and q_2 , one can prove the positive definiteness of tensor $\bar{\mathbf{n}}^{(2)} = \bar{n}_{ipq_1q_2}^{(2)} \mathbf{e}_i \otimes \mathbf{e}_p \otimes \mathbf{e}_{q_1} \otimes \mathbf{e}_{q_2} = \bar{n}_{ipq_2q_1}^{(2)} \mathbf{e}_i \otimes \mathbf{e}_p \otimes \mathbf{e}_{q_1} \otimes \mathbf{e}_{q_2}$, as

$$\begin{aligned} \bar{n}_{ipq_1q_2}^{(2)} &= \frac{1}{2} \left\langle \bar{C}_{rjkh}^m \left(\bar{N}_{riq_2,j}^{(1)} + \delta_{ir} \delta_{jq_2} \right) \left(\bar{N}_{hpq_1,k}^{(1)} + \delta_{ph} \delta_{kq_1} \right) + \right. \\ &\quad \left. + \bar{C}_{rjkh}^m \left(\bar{N}_{riq_1,j}^{(1)} + \delta_{ir} \delta_{jq_1} \right) \left(\bar{N}_{hpq_2,k}^{(1)} + \delta_{ph} \delta_{kq_2} \right) \right\rangle = \\ &= \frac{1}{2} \left(\bar{C}_{pq_1iq_2} + \bar{C}_{pq_2iq_1} \right), \end{aligned} \quad (41)$$

where $\bar{\mathbf{C}} = \bar{C}_{pq_1iq_2} \mathbf{e}_p \otimes \mathbf{e}_{q_1} \otimes \mathbf{e}_i \otimes \mathbf{e}_{q_2}$ denotes the dimensionless overall elastic tensor. Its components can be expressed in terms of the ones of the micro elastic tensor as

$$\bar{C}_{pq_1iq_2} = \left\langle \bar{C}_{rjkl}^m \left(\bar{N}_{riq_2,j}^{(1)} + \delta_{ir} \delta_{jq_2} \right) \left(\bar{N}_{kpq_1,l}^{(1)} + \delta_{pk} \delta_{lq_1} \right) \right\rangle, \quad (42)$$

as inferred from Eq. (41). Symmetries of tensor $\bar{\mathbf{C}}$ naturally descend from the major and minor symmetry properties of the micro elasticity tensor and from equality $\bar{N}_{kpq_1}^{(1)} = \bar{N}_{kq_1p}^{(1)}$, whose validity derives from the structure of cell problem (16). In particular, the following relation holds

$$\begin{aligned} \bar{n}_{ipq_1q_2}^{(2)} \frac{\partial^2 \bar{U}_p}{\partial \bar{x}_{q_1} \partial \bar{x}_{q_2}} &= \frac{1}{2} \left(\bar{C}_{pq_1iq_2} + \bar{C}_{pq_2iq_1} \right) \frac{\partial^2 \bar{U}_p}{\partial \bar{x}_{q_1} \partial \bar{x}_{q_2}} = \\ &= \frac{1}{2} \left(\bar{C}_{pq_1iq_2} \frac{\partial^2 \bar{U}_p}{\partial \bar{x}_{q_1} \partial \bar{x}_{q_2}} + \bar{C}_{pq_2iq_1} \frac{\partial^2 \bar{U}_p}{\partial \bar{x}_{q_2} \partial \bar{x}_{q_1}} \right) = \bar{C}_{pq_1iq_2} \frac{\partial^2 \bar{U}_p}{\partial \bar{x}_{q_1} \partial \bar{x}_{q_2}}, \end{aligned} \quad (43)$$

Since the equality $\bar{n}^{(2,2)} = \bar{\rho}$ between coefficient $\bar{n}^{(2,2)}$ and the overall dimensionless mass density trivially follows from the second of (23), field equation for the equivalent first-order medium and relative initial conditions become

$$\begin{cases} \bar{C}_{ipq_1q_2} \frac{\partial^2 \bar{U}_p(\bar{\mathbf{x}}, \bar{t})}{\partial \bar{x}_{q_1} \partial \bar{x}_{q_2}} - \bar{\rho} \frac{\partial^2 \bar{U}_i(\bar{\mathbf{x}}, \bar{t})}{\partial \bar{t}^2} + \bar{b}_i(\bar{\mathbf{x}}, \bar{t}) = 0, \\ \bar{U}_i(\bar{\mathbf{x}}, \bar{t} = 0) = \bar{v}_{0,i}(\bar{\mathbf{x}}), \\ \frac{\partial \bar{U}_i(\bar{\mathbf{x}}, \bar{t} = 0)}{\partial \bar{t}} = \bar{w}_{0,i}(\bar{\mathbf{x}}). \end{cases} \quad (44)$$

where $\bar{\mathbf{U}}(\bar{\mathbf{x}}, \bar{t})$ can be obtained following the procedure described in the previous section. In particular, one has

$$\begin{aligned} \bar{\mathbf{U}}(\bar{\mathbf{x}}, \bar{t}) &\approx \bar{\mathbf{U}}^{(0)}(\bar{\mathbf{x}}, \bar{t}) = (\mathbf{T} \mathbf{r}^{(0)}(\bar{\mathbf{x}}, \bar{t}))_2 = \left(\mathbf{T} \mathcal{F}^{-1} \left(\exp \left[-\mathbf{M}^{-1} \mathbf{N} \bar{t} \right] \right) * \mathbf{r}_0^{(0)} + \right. \\ &\quad \left. + \int_0^{\bar{t}} \mathbf{T} \mathcal{F}^{-1} \left(\exp \left[-\mathbf{M}^{-1} \mathbf{N} (\bar{t} - \bar{\tau}) \right] \right) * \mathcal{F}^{-1} \left(-\mathbf{M}^{-1} \mathbf{d} \right) d\bar{\tau}, \right)_2 \end{aligned} \quad (45)$$

where the block transformation matrix $\mathbf{T} = \mathbf{0} \oplus \mathbf{I}$ is used and where the subscript $_2$ denotes the second component of the vector. Field equation for higher-order continua cannot be simply obtained truncating Eq. (22) at a generic higher-order in ε , since the symmetry of non-local overall constitutive tensors, as well as the thermodynamic consistency, are not generally guaranteed (Bacigalupo, 2014; Bakhvalov & Panasenko, 1984; Smyshlyaev & Cherednichenko, 2000). On the contrary, to guarantee

the energetic consistency of higher-order problems, different techniques based upon, for example, variational approaches can be invoked (see for instance (Bacigalupo & Gambarotta, 2014; Del Toro et al., 2019; Smyshlyayev & Cherednichenko, 2000)). They allow for consistently identifying overall non-local constitutive tensors enjoying major and minor symmetries that intervene in the constitutive law of gradient media. Variational asymptotic homogenization schemes can also be employed to consistently identify overall constitutive tensors governing the constitutive laws of multi-field first-order media (see, for example, De Bellis, Bacigalupo, and Zavarise (2019), Fantoni and Bacigalupo (2022), Pr ve et al. (2021)).

4. Spectro-hierarchical homogenization scheme

After a renormalization of the variables, with the aim to study the problem at hand on the bi-dimensional standard torus $\mathbb{T}^2$, the spectro-hierarchical scheme is described. More precisely, the known functions appearing in the equations as well as the solution are expanded according to a rule of selection of the Fourier harmonics (50), see, e.g. Giorgilli (2003). The components of the elastic tensor, the density, the body forces, and the initial conditions are assumed to be real-analytic functions; when this is not the case, they can be replaced by suitably smooth analytic approximations. The material fields are then expanded into Fourier series and decomposed into spectral bands by grouping the Fourier modes according to their frequency content. An auxiliary parameter is introduced solely to label the contribution of each spectral band. The displacement field is expressed in an analogous hierarchical series, with each term associated with one spectral level. Substituting these expansions into the governing equation and collecting terms with the same spectral label generates a hierarchy of differential problems that will be studied in the corresponding Fourier spaces. The first problem leads to the governing equation of a homogenized medium with averaged material properties, while the higher-order problems provide successive corrections to the lower-order solution. Summing the contributions of the hierarchical levels yields an approximation of the macroscopic displacement field. In practical applications, the hierarchy is truncated at a finite order, typically much smaller than the theoretical bound discussed in Fortunati et al. (2024), and the corresponding problems are solved to obtain the spectro-hierarchical homogenized response of the composite.

4.1. Set-up of the method and hierarchical differential problems into fourier coefficients

Let us consider the system (7) written in a component-wise notation, i.e.

$$\begin{cases} \bar{\rho}^m(\varepsilon^{-1}\bar{\mathbf{x}})\ddot{\bar{u}}_i(\bar{\mathbf{x}},\bar{t}) - \left(\bar{C}_{ijhk}^m(\varepsilon^{-1}\bar{\mathbf{x}})\bar{u}_{h,k}(\bar{\mathbf{x}},\bar{t})\right)_j = \bar{b}_i(\bar{\mathbf{x}},\bar{t}) \\ \bar{u}_i(\bar{\mathbf{x}},\bar{t}=0) = \bar{v}_{0,i}(\bar{\mathbf{x}}) \\ \dot{\bar{u}}_i(\bar{\mathbf{x}},\bar{t}=0) = w_{0,i}(\bar{\mathbf{x}}) \end{cases}, \quad (46)$$

where $\bar{\mathbf{x}} \in \bar{\mathcal{Q}}$ and the comma denotes the spatial derivative. First of all, in order to avoid a cumbersome notation but without any loss of generality, we shall set $\delta = 1$, obtaining in this way a unitary cell $\bar{\mathcal{Q}} = [0, 1] \times [0, 1]$ in the $\bar{\mathbf{x}}$ -space. By introducing the following change of variables,

$$\hat{\mathbf{x}} := 2\pi\bar{\mathbf{x}}, \quad (47)$$

the problem (46) is “reduced” to the standard torus, i.e. $\hat{\mathbf{x}} \in \hat{\mathcal{Q}} \equiv \mathbb{T}^2$. Let us now set,

$$\hat{\rho}(\varepsilon^{-1}\hat{\mathbf{x}}) := \bar{\rho}^m((2\pi\varepsilon)^{-1}\bar{\mathbf{x}}), \quad \hat{C}_{ijhk}(\varepsilon^{-1}\hat{\mathbf{x}}) := (2\pi)^2\bar{C}_{ijhk}^m((2\pi\varepsilon)^{-1}\bar{\mathbf{x}}), \quad \hat{b}_i(\hat{\mathbf{x}}) := \bar{b}_i((2\pi)^{-1}\bar{\mathbf{x}}).$$

and furthermore

$$\hat{\mathbf{v}}(\hat{\mathbf{x}},\hat{t}) := \bar{\mathbf{u}}((2\pi)^{-1}\bar{\mathbf{x}},\bar{t}), \quad \hat{\mathbf{w}}(\hat{\mathbf{x}}) := \bar{\mathbf{v}}_0((2\pi)^{-1}\bar{\mathbf{x}}), \quad \hat{\dot{\mathbf{w}}}(\hat{\mathbf{x}}) := \bar{\mathbf{w}}_0((2\pi)^{-1}\bar{\mathbf{x}}),$$

i.e. we have set $\hat{t} \equiv \bar{t}$. In this way, problem (46), reads as

$$\begin{cases} \hat{\rho}(\varepsilon^{-1}\hat{\mathbf{x}})\ddot{\hat{v}}_i(\hat{\mathbf{x}},\hat{t}) - \left(\hat{C}_{ijhk}(\varepsilon^{-1}\hat{\mathbf{x}})\hat{v}_{h,k}(\hat{\mathbf{x}},\hat{t})\right)_j = \hat{b}_i(\hat{\mathbf{x}},\hat{t}), \\ \hat{v}_i(\hat{\mathbf{x}},0) = \hat{w}_i(\hat{\mathbf{x}}), \\ \dot{\hat{v}}_i(\hat{\mathbf{x}},0) = \hat{\dot{w}}_i(\hat{\mathbf{x}}), \end{cases} \quad (48)$$

where $\hat{\mathbf{x}} \in \mathbb{T}^N$, with N denoting the space dimensionality.

The spectro-hierarchical approach proposed in Fortunati et al. (2024) can be described as follows. Let us consider a real-analytic function, say $f(\mathbf{x})$, defined on $\mathbb{T}^N$. Let us recall that with the adjective real-analytic, we refer to a function $f : \mathcal{D} \rightarrow \mathbb{R}^n$ which can be extended as a holomorphic function on some complexified domain, i.e. a complex domain $\mathcal{D}_\sigma$ containing the real one $\mathcal{D}$, where σ is a “quantification” of the extension (see Fortunati et al. (2024) for an explicit definition of the analyticity radius).

Under the above-mentioned assumptions, f can be expanded via a convergent Fourier series as follows

$$f(\mathbf{x}) = \sum_{\mathbf{v} \in \mathbb{Z}^N} f_{\mathbf{v}} e^{i\mathbf{v} \cdot \mathbf{x}}. \quad (49)$$

Given two positive integers Γ and s , one can define

$$f^{[s]}(\mathbf{x}) = \sum_{(s-1)\Gamma < |\mathbf{v}| \leq s\Gamma} f_{\mathbf{v}} e^{i\mathbf{v} \cdot \mathbf{x}}. \quad (50)$$

where $|\mathbf{v}| := |\mathbf{v}_1| + \dots + |\mathbf{v}_N|$. Clearly, as (49) is convergent, $f = f^{[0]} + f^{[1]} + f^{[2]} + \dots$ and this holds for all $\Gamma > 0$ (where $f^{[0]} := f_0$ i.e. the average of f). It is a standard result from the theory of real-analytic functions that the size of the $f^{[s]}$ decays as $\bar{\lambda}^s$, where $\bar{\lambda} \in (0, 1)$ is some parameter which can be computed explicitly. Following this property, it is customary in Perturbation Theory to rewrite the previous sum as $f = f^{[0]} + \lambda f^{[1]} + \lambda^2 f^{[2]} + \dots$ where λ is thought as one throughout the whole process, i.e. its only role is to provide a “label” for the terms $f^{[s]}$. Clearly, those terms will depend upon Γ . Exactly as in similar approaches in finite dimension, see e.g. Giorgilli (2003), it is possible to show that a best choice for this parameter exists. More on this aspect later.

Let us proceed then by expanding the known terms appearing in (48) in the following form

$$\hat{\rho} = \hat{\rho}^{[0]} + \lambda \hat{\rho}^{[1]} + \lambda^2 \hat{\rho}^{[2]} + \dots, \quad (51)$$

$$\hat{C}_{ijhk} = \hat{C}_{ijhk}^{[0]} + \lambda \hat{C}_{ijhk}^{[1]} + \lambda^2 \hat{C}_{ijhk}^{[2]} + \dots, \quad (52)$$

$$\hat{b}_i = \lambda \hat{b}_i^{[1]} + \lambda^2 \hat{b}_i^{[2]} + \lambda^3 \hat{b}_i^{[3]} + \dots, \quad (53)$$

(the choice of a zero-averaging source is customary in this class of problems) and a similar expansion holds for the initial conditions, for instance, $\hat{w}_i = \hat{w}_i^{[0]} + \lambda \hat{w}_i^{[1]} + \dots$.

Accordingly, the solution to (48) is sought of the form

$$\hat{v}_i = \lambda \hat{v}_i^{[1]} + \lambda^2 \hat{v}_i^{[2]} + \lambda^3 \hat{v}_i^{[3]} + \dots \quad (54)$$

By substituting the expansions (51)–(54) in (48) one gets, in particular

$$(\hat{\rho}^{[0]} + \lambda \hat{\rho}^{[1]} + \lambda^2 \hat{\rho}^{[2]} + \dots) \partial_{tt} \left(\lambda \hat{v}_i^{[1]} + \lambda^2 \hat{v}_i^{[2]} + \lambda^3 \hat{v}_i^{[3]} + \dots \right) + \quad (55)$$

$$- \left[\left(\hat{C}_{ijhk}^{[0]} + \lambda \hat{C}_{ijhk}^{[1]} + \lambda^2 \hat{C}_{ijhk}^{[2]} + \dots \right) \left(\lambda \hat{v}_i^{[1]} + \lambda^2 \hat{v}_i^{[2]} + \lambda^3 \hat{v}_i^{[3]} + \dots \right) \right]_{,k} = \lambda \hat{b}_i^{[1]} + \lambda^2 \hat{b}_i^{[2]} + \lambda^3 \hat{b}_i^{[3]} + \dots. \quad (56)$$

It is now sufficient to compare the terms “labeled” with the same power of λ , to transform (48) into an infinite hierarchy of differential problems, which, remarkably, have an explicit form. A similar procedure is used for the initial conditions. For instance, by comparing the first powers of λ , one gets

Order one

$$\begin{cases} \hat{\rho}^{[0]} \hat{v}_i^{[1]} - \hat{C}_{ijhk}^{[0]} \left(\hat{v}_{h,k}^{[1]} \right)_{,j} = \hat{b}_i^{[1]}, \\ \hat{v}_i^{[1]}(\hat{\mathbf{x}}, 0) = \hat{w}_i^{[1]}(\hat{\mathbf{x}}), \\ \hat{\dot{v}}_i^{[1]}(\hat{\mathbf{x}}, 0) = \hat{\tilde{w}}_i^{[1]}(\hat{\mathbf{x}}). \end{cases} \quad (57)$$

Order two

$$\begin{cases} \hat{\rho}^{[0]} \hat{v}_i^{[2]} - \hat{C}_{ijhk}^{[0]} \left(\hat{v}_{h,k}^{[2]} \right)_{,j} = \hat{b}_i^{[2]} - \hat{\rho}^{[1]} \hat{v}_i^{[1]} + \left(\hat{C}_{ijhk}^{[1]} \hat{v}_{h,k}^{[1]} \right)_{,j}, \\ \hat{v}_i^{[2]}(\hat{\mathbf{x}}, 0) = \hat{w}_i^{[2]}(\hat{\mathbf{x}}), \\ \hat{\dot{v}}_i^{[2]}(\hat{\mathbf{x}}, 0) = \hat{\tilde{w}}_i^{[2]}(\hat{\mathbf{x}}). \end{cases} \quad (58)$$

Order three

$$\begin{cases} \hat{\rho}^{[0]} \hat{v}_i^{[3]} - \hat{C}_{ijhk}^{[0]} \left(\hat{v}_{h,k}^{[3]} \right)_{,j} = \hat{b}_i^{[3]} - \hat{\rho}^{[2]} \hat{v}_i^{[1]} - \hat{\rho}^{[1]} \hat{v}_i^{[2]} + \left(\hat{C}_{ijhk}^{[2]} \hat{v}_{h,k}^{[1]} + \hat{C}_{ijhk}^{[1]} \hat{v}_{h,k}^{[2]} \right)_{,j}, \\ \hat{v}_i^{[3]}(\hat{\mathbf{x}}, 0) = \hat{w}_i^{[3]}(\hat{\mathbf{x}}), \\ \hat{\dot{v}}_i^{[3]}(\hat{\mathbf{x}}, 0) = \hat{\tilde{w}}_i^{[3]}(\hat{\mathbf{x}}). \end{cases} \quad (59)$$

In general, the s -th step of the hierarchy, has the form

$$\begin{cases} \hat{\rho}^{[0]} \hat{v}_i^{[s]} - \hat{C}_{ijhk}^{[0]} \left(\hat{v}_{h,k}^{[s]} \right)_{,j} = \hat{b}_i^{[s]} + \hat{F}_i^{[s]}, \\ \hat{v}_i^{[s]}(\hat{\mathbf{x}}, 0) = \hat{w}_i^{[s]}(\hat{\mathbf{x}}), \\ \hat{\dot{v}}_i^{[s]}(\hat{\mathbf{x}}, 0) = \hat{\tilde{w}}_i^{[s]}(\hat{\mathbf{x}}), \end{cases} \quad (60)$$

where $\hat{F}_i^{[s]}$ is a suitable function representing the contribution of the solutions $\hat{v}_i^{[s-1]}, \dots, \hat{v}_i^{[1]}$ determined by to the previous stages of the hierarchy, see Fortunati et al. (2024) for the general proof. This implies that the problems (60) are fully explicit.

The very first step of the scheme, i.e. for $s = 1$, should be interpreted as the “step zero” i.e. related to the “fully homogenized” problem, being the rapidly oscillating functions replaced by their average.

Clearly, the most natural question concerns the behavior of the series (54) as their order is increased, that is, when one is interested in solving (60) as s becomes larger and larger. Classical results from Perturbation Theory show how those series, at least generically, fail to converge. This means that the function

$$\hat{v}_i^{[\leq n]} = \lambda \hat{v}_i^{[1]} + \lambda^2 \hat{v}_i^{[2]} + \dots + \lambda^n \hat{v}_i^{[n]},$$

will not provide, in general, a better approximation of $\hat{v}_i^{[\leq(n-1)]}$, even if n is “not that large”. Nevertheless, in the very same spirit of the Nekhoroshev Theorem, see e.g. Nekhoroshev (1977), Nekhoroshev (1979) and Giorgilli and Galgani (1985), the result contained in Fortunati et al. (2024) shows that, under some reasonable assumptions, there exists an optimal n^* providing the best approximation of the exact solution amongst all the possible values of n . Remarkably, such an approximation is “extremely good”: the approximated solution $\hat{v}_i^{[\leq n^*]}$ produced with the above-described scheme is shown to be superexponentially close in ε to the exact solution. More precisely, there exists two positive constants $\mathfrak{A}, \mathfrak{a} > 0$ such that, if $\hat{v}$ denotes the exact solution, one has

$$\|\hat{v} - \hat{v}^{[\leq n^*]}\|_* \leq \mathfrak{A} \exp(-\mathfrak{A} \exp(\mathfrak{a}/\varepsilon)^{1/4}), \quad (61)$$

where $\|\cdot\|_*$ is a suitable norm, see Fortunati et al. (2024) for more details.

As it is common in rigorous perturbative arguments, the result of Fortunati et al. (2024) are shown to hold for $\varepsilon < \varepsilon_0$, where the threshold ε_0 is estimated as a “very small” number, see Fortunati et al. (2024, Formula (51)) for an explicit bound. Clearly this kind of condition are sufficient but not necessary. Hence, the purpose of this work is to attempt a validation of the approximation provided by the scheme for a value of ε far larger than the one computed via the analytic approach described in Fortunati et al. (2024). A modicum regarding the quantitative estimates of the spectro-hierarchical homogenization scheme is reported in Appendix B.

4.2. General procedure of application of the scheme

The aim of this section is to give a description of the spectro-hierarchical approach in its full generality. The described computations will be carried out in full detail for a concrete example in the next section.

First of all, as anticipated in the foreword, the results contained in Fortunati et al. (2024), deal with models in which the known terms, and more precisely $\hat{\rho}, \hat{C}_{ijhk}, \hat{b}_i, \hat{w}_i, \hat{\tilde{w}}_i$, are supposed to be, in fact, real-analytic functions. We stress, however, that “realistic” functions, say $\hat{\rho}^r$ and $\hat{C}_{ijhk}^r$, do not satisfy this regularity requirement, at least in general realistic applications. In fact, they usually vary, without being even continuous, from a minimum to a maximum value and vice-versa. More precisely, $\hat{\rho}^r$ will jump from $\hat{\rho}_a$ and $\hat{\rho}_b$ ($\hat{\rho}_a < \hat{\rho}_b$) as $\hat{x}$ vary, according to a prescribed law. The same holds for $\hat{C}_{ijhk}^r$, where the two (families of) extreme values are given by $\hat{C}_{ijhk}^a$ and $\hat{C}_{ijhk}^b$.

Nevertheless, it is well known that several techniques can be found in the literature in order to produce real-analytic approximants of the described functions. Perhaps, the most natural approach consists in using the truncated Fourier expansion, either directly computed from original function or from other ad hoc “smoothed” ones, as the one used later on.

Let us now suppose that $\hat{\rho}, \hat{C}_{ijhk}$ are either real-analytic functions or real-analytic approximants of functions $\hat{\rho}^r$ and $\hat{C}_{ijhk}^r$, respectively. Let be σ their analyticity radius. According to Fortunati et al. (2024, Thm. 1), we set

$$\Gamma \geq \Gamma^* := \lceil 2/\sigma \rceil. \quad (62)$$

(here $\lceil a \rceil$ denotes the smallest integer greater or equal than a), under the condition

$$q := 1/(\varepsilon \Gamma) = 1, 2, \dots, \quad (63)$$

i.e. a non negative integer. As ε is related to the geometry of the material, not all the values of ε are possible, there is however some freedom in the admissible values, as Γ is not fixed but it can be increased if necessary. We shall call feasible, a material in which the value of ε satisfies condition (63). It is worth pointing out that, in order for the quantitative estimates of Fortunati et al. (2024, Thm. 1) to hold, such an ε has to satisfy certain additional smallness requirement. However, as mentioned before, our aim is to investigate the accuracy of the method outside the theory provided by Fortunati et al. (2024, Thm. 1), by providing a numerical validation. Hence, we will not impose any further restriction on ε in this case.

Once the choice (62) has been made, the functions appearing in the expansions (51)–(53) (constructed via the Fourier coefficients collection described in definition (50)) are uniquely defined. As in Fortunati et al. (2024), we will choose the initial conditions in such a way $\hat{w} \equiv \hat{w}^{[1]}$ and $\hat{\tilde{w}} \equiv \hat{\tilde{w}}^{[1]}$. This is not a particularly restrictive choice, as Γ is typically a “large” number.

In this setting, we can start by solving the equations of the hierarchy (60). A comment is in order on the procedure used to deal with problems of the form (60). Let us recall for this purpose that a standard approach consists of proceeding with a Fourier expansion of the functions appearing in it, then solving the obtained family of finite-dimensional Cauchy problems. More precisely, as in Fortunati et al. (2024), we expand

$$\hat{v}^{[s]} =: \sum_{v \in \mathbb{Z}^N} c_v^{[s]}(\hat{t}) e^{i v \cdot \hat{x}}, \quad (64)$$

i.e. $c_v^{[s]}$ denote the Fourier coefficients of $\hat{v}^{[s]}$. Similarly, we denote with $g_v^{[s]}(\hat{t}), f_v^{1,[s]}$ and $f_v^{2,[s]}$ the Fourier coefficients of $\hat{b}^{[s]} + \hat{F}^{[s]}$, $\hat{w}^{[s]}$ and of $\hat{\tilde{w}}^{[s]}$, respectively.

This leads to the following infinite set of ODEs

$$\begin{cases} \hat{\rho}^{[0]} \hat{c}_v^{[s]} + \Delta_v c_v^{[s]} = g_v^{[s]}, \\ c_v(0) = f_v^{1,[s]}, \\ \dot{c}_v(0) = f_v^{2,[s]}. \end{cases} \quad (65)$$

Here Δ_v represents a 2×2 matrix whose component ih is expressed as $(v_j v_k C_{ijhk}^{[0]})_{ih}$. The latter is positive definite as a consequence of the assumptions. It should be stressed that, with the exception of very special cases in which Δ_v is diagonal, the solution of (65)

would require a coordinates change. More precisely, the (standard) procedure can be described as follows (see also (Fortunati et al., 2024), Prop. 4.2):

Due to the mentioned properties of Δ_v there exists an orthonormal matrix $\tilde{\mathbf{P}}$ such that

$$\mathbf{P}^T \Delta_v \mathbf{P} = \mathbf{A},$$

where $\mathbf{A} := \text{diag}(\lambda_1, \lambda_2)$. Hence, by considering the coordinates transformation given by

$$\mathbf{c}_v^{[s]} =: \mathbf{P} \mathbf{z}_v^{[s]}, \quad (66)$$

the problem (65) is cast into the system of uncoupled Cauchy problems

$$\begin{cases} \dot{\mathbf{z}}_v^{[s]} + \tilde{\mathbf{A}} \mathbf{z}_v^{[s]} = \tilde{\mathbf{P}}^T \mathbf{g}_v^{[s]}, \\ \mathbf{z}_v^{[s]}(0) = \tilde{\mathbf{P}}^T \mathbf{f}_v^{[s]}, \\ \mathbf{z}_v^{[s]}(0) = \tilde{\mathbf{P}}^T \mathbf{f}_v^{2,[s]}, \end{cases} \quad (67)$$

where $\tilde{\mathbf{A}} := (\hat{\rho}^{[0]})^{-1} \mathbf{A}$ and similarly for $\mathbf{P}$.

The solution to the previous problem is readily written as

$$(z_v)_i^{[s]}(\hat{t}) = (\tilde{\mathbf{P}}^T \mathbf{f}_v^{1,[s]})_i \cos(\tilde{\lambda}_i^{\frac{1}{2}} \hat{t}) + (\tilde{\mathbf{P}}^T \mathbf{f}_v^{2,[s]})_i \sin(\tilde{\lambda}_i^{\frac{1}{2}} \hat{t}) + \tilde{\lambda}_i^{-\frac{1}{2}} \int_0^{\hat{t}} (\tilde{\mathbf{P}}^T \mathbf{g}_v^{[s]}(\tau))_i \sin[\tilde{\lambda}_i^{\frac{1}{2}}(\hat{t} - \tau)] d\tau, \quad (68)$$

which gives the solution to the original problem via (66). Here $\tilde{\lambda}_i$ denote the elements of $\tilde{\mathbf{A}}$.

In conclusion, the procedure can be summarized as follows

1. Consider real-analytic functions $\hat{\rho}$ and $\hat{C}_{ijhk}$ representing the “material” (possibly as approximants of some functions $\hat{\rho}^r$ and $\hat{C}_{ijhk}^r$, respectively). Let be σ their analyticity radius.
2. Check if the material is feasible according to the definition given above, i.e., whether or not ε satisfies condition (63) (possibly adjusting the value of Γ within the limitation (62)). If yes, then proceed.
3. Set a “normalization order” n
4. Consider a zero averaging source $\hat{\mathbf{b}}$ and its Fourier expansion together with those of $\hat{\rho}$ and $\hat{C}_{ijhk}$ up to the harmonics such that $|\mathbf{v}| \leq n\Gamma$. This gives rise to the expansions (51)–(53), via (50). Define a set of initial conditions such that $\hat{\mathbf{w}} \equiv \hat{\mathbf{w}}^{[1]}$.
5. For all $s \leq n$ compute the corresponding $\hat{\mathbf{F}}^{[s]}$ (recall that $\hat{\mathbf{F}}^{[s]} \equiv \mathbf{0}$) then solve the Cauchy problem (60).

Remark 4.1. The normalization order mentioned in step 3 is “automatically determined” by the original scheme in order to achieve the superexponential bound. Cases in which the theory is tested outside the rigorous validity threshold for ε , as the present one, are necessarily concerned with an arbitrary choice of n .

5. Illustrative examples-benchmark tests

One considers a microstructured material characterized by a square periodic cell with a centered square inclusion. The inclusion is made of Silicon Carbide with Young Modulus $E^{SiC} = 340$ GPa, Poisson’s ratio $\nu^{SiC} = 0.18$, and mass density $\rho^{SiC} = 3210$ kg/m³. Under plane stress conditions, the elastic tensor of the inclusion, written with Kelvin notation, reads

$$\mathbb{C}^{SiC} = \begin{pmatrix} C_{1111} & C_{1122} & \sqrt{2}C_{1112} \\ C_{2211} & C_{2222} & \sqrt{2}C_{2212} \\ \sqrt{2}C_{1211} & \sqrt{2}C_{1222} & 2C_{1212} \end{pmatrix} = \begin{pmatrix} 36.917 & 8.104 & 0 \\ 8.104 & 36.917 & 0 \\ 0 & 0 & 14.407 \end{pmatrix} 10^4 \text{ MPa}. \quad (69)$$

Without losing generality, the components of the elastic tensor of the matrix are considered as equal to 1/3 of the corresponding ones of the inclusion, and the same ratio has been taken for the mass density. Let us define the following function

$$\begin{aligned} \mathcal{M}(\xi_1, \xi_2; r^-, r^+) &= r^- + \frac{r^+ - r^-}{4} \left(\tanh \left[\frac{\cos(2\pi\xi_1/L) + w}{\alpha} \right] - \tanh \left[\frac{\cos(\pi/L) + w}{\alpha} \right] \right) \times \\ &\quad \times \left(\tanh \left[\frac{\cos(2\pi\xi_2/L) + w}{\alpha} \right] - \tanh \left[\frac{\cos(\pi/L) + w}{\alpha} \right] \right), \end{aligned} \quad (70)$$

where r^- and r^+ are its minimum and its maximum value, respectively. The parameter α is meant to be “small but not zero” as it controls the real-analyticity radius σ of the function. More precisely, it is possible to show that

$$\sigma < \arccos(i\pi\alpha/2 - w). \quad (71)$$

The parameter w governs the size of the inclusion. The material addressed is supposed to have elastic constitutive properties and mass density obeying the following laws inside the periodic unit cell $\mathcal{Q}$

$$C_{ijhk} := \mathcal{M}(\xi_1, \xi_2; C_{ijhk}^a, C_{ijhk}^b), \quad \rho := \mathcal{M}(\xi_1, \xi_2; \rho^a, \rho^b), \quad (72)$$

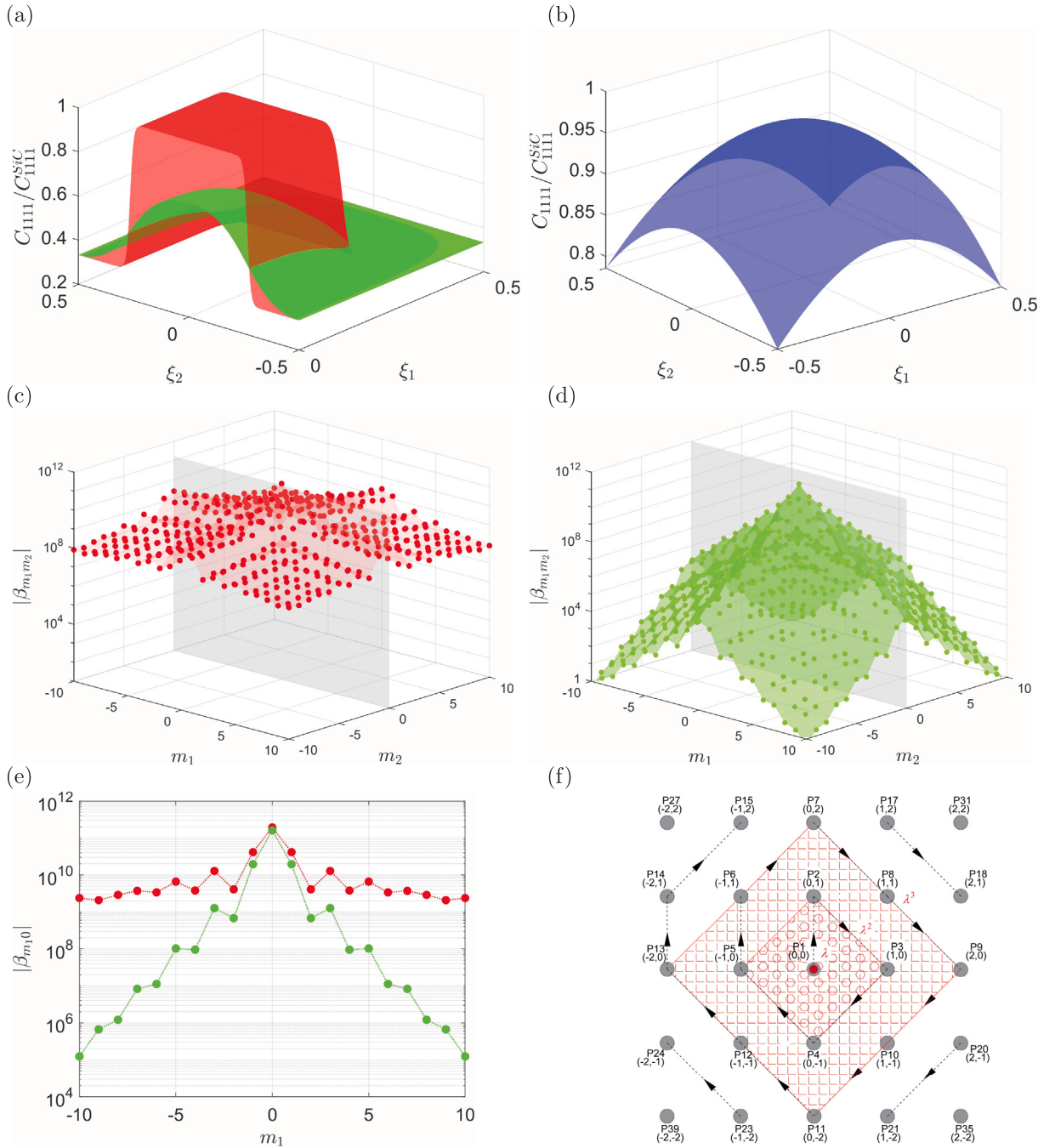


Fig. 1. (a) Plot of the dimensionless component C_{1111}/C_{1111}^{SiC} of the elastic tensor inside the unit periodic cell according to (72) with $w = 0.1$ and $\alpha = 0.1$ (red) or $\alpha = 1$ (green); (b) first-order truncation (74) of the function with $\alpha = 0.1$; (c) absolute value of Fourier coefficients $\beta_{m_1 m_2}$ of function (72) with $\alpha = 0.1$; (d) absolute value of Fourier coefficients $\beta_{m_1 m_2}$ of function (72) with $\alpha = 1$; (e) 2D representation of $|\beta_{m_1 0}|$ for $m_2 = 0$ in a semilog plot for $\alpha = 0.1$ (red) and $\alpha = 1$ (green); (f) spiral ordering of the 2D Fourier coefficients, with an indication of which coefficients are selected at each perturbative step, labeled by the corresponding powers of the ordering parameter λ .

where apex a refer to the matrix, and b to the inclusion. An example in which the material constants vary discontinuously within the periodic cell is presented in Appendix C. Choosing $w = 0.1$, Fig. 1(a) represents the behavior of elastic tensor component C_{1111} , normalized by C_{1111}^{SiC} , according to the first of (72) for two different values of α , namely $\alpha = 0.1$ (red curve) and $\alpha = 1$ (green curve).

Thanks to the $\mathcal{Q}$ -periodicity of function (70), its multidimensional Fourier series reads

$$\mathcal{M}(\xi_1, \xi_2, r^-, r^+) \sim \sum_{m_1, m_2 \in \mathbb{Z}} \beta_{m_1 m_2} \exp [i 2\pi(m_1 \xi_1 + m_2 \xi_2)]. \quad (73)$$

Fig. 1 displays on a logarithmic scale the decay of the absolute value of the Fourier coefficients $\beta_{m_1 m_2}$ of (73), computed for $\alpha = 0.1$ (Fig. 1(c)) and $\alpha = 1$ (Fig. 1(d)) as m_1 and m_2 vary. By fixing $m_2 = 0$, Fig. 1(e) shows in semilogarithmic scale the decay of the absolute value of the Fourier coefficients $\beta_{m_1 m_2}$ for $\alpha = 0.1$ (red markers) and for $\alpha = 1$ (green markers). One observes that the smoother the function is, the closer it is to an analytic function, the more the absolute values of its Fourier coefficients exhibit an exponential decay, a property on which the spectro-hierarchical homogenization technique fundamentally relies. If the Fourier coefficients are plotted in a 2D plane as functions of m_1 and m_2 , their spiral ordering becomes apparent. This is illustrated in Fig. 1(f), where red diamonds highlight the coefficients selected at each perturbative step of the spectro-hierarchical homogenization method. Each step is labeled by the corresponding power of the ordering parameter λ . Series (73) truncated at the first order, can be expressed as

$$\mathcal{M}(\xi_1, \xi_2, r^-, r^+) \sim c_{00} + c_{10} \cos(2\pi \xi_1) + c_{01} \cos(2\pi \xi_2), \quad (74)$$

with Fourier coefficients

$$c_{00} = \int_{\mathcal{Q}} \mathcal{M}(\xi_1, \xi_2, r^-, r^+) d\xi, \quad c_{10} = \int_{\mathcal{Q}} \mathcal{M}(\xi_1, \xi_2, r^-, r^+) \cos(2\pi \xi_1) d\xi, \quad c_{01} = \int_{\mathcal{Q}} \mathcal{M}(\xi_1, \xi_2, r^-, r^+) \cos(2\pi \xi_2) d\xi. \quad (75)$$

Fixing once and for all $\alpha = 0.1$, it is plotted in Fig. 1(b). The periodic medium has been subjected to zero-averaging volume forces expressed as

$$\mathbf{b} = \left[\Omega \sin \left(\frac{2\pi x_1}{L} - \theta t \right) \quad 0 \right]^T, \quad (76)$$

where $\Omega \in \mathbb{R}_{>0}$. Furthermore, because of the invariance of (76) with respect to x_2 , the heterogeneous medium has been discretized exploiting a standard finite element procedure considering a cluster of cells made of 21 cells along the x_1 direction and 1 cell along the x_2 direction. The initial conditions will be set as

$$\text{Case 1:} \quad \mathbf{v}_0 := (M \sin(2\pi L^{-1} x_1), 0) \quad \mathbf{w}_0 := (-M \cos(2\pi L^{-1} x_1), 0), \quad (77)$$

$$\text{Case 2:} \quad \mathbf{v}_0 := \mathbf{0} \quad \mathbf{w}_0 := \mathbf{0}, \quad (78)$$

where $M \in \mathbb{R}_{>0}$. The solution of the transient problem for the heterogeneous medium will be compared later on with the homogenized one obtained with the asymptotic homogenization technique described in Section 3 and with the one provided by the spectro-hierarchical homogenization scheme presented in Section 4.

5.1. Asymptotic approach

To assess the capabilities of the first-order asymptotic homogenization scheme described in Section 3 in solving multiscale transient problems for periodic microstructured Cauchy materials, the formulation is tested in the case of two-dimensional infinite elastic material subjected to $\mathcal{L}$ -periodic body forces (76), with initial conditions (77) or (78). Considering that the components of the elastic tensor and the mass density of the periodic cell vary following the law given by (74), perturbation functions $\tilde{N}_{hpq_1}^{(1)}$ have been derived through the numerical resolution of cell problems (16) at the order ε^{-1} discretizing the unit cell $\bar{\mathcal{Q}}$ by a standard finite element procedure. Two of the obtained perturbation functions are plotted in Fig. 2, which confirms their $\bar{\mathcal{Q}}$ -periodicity and their smoothness along the boundaries of the cell. Furthermore, perturbation functions are zero-average functions over $\bar{\mathcal{Q}}$ because of the imposed normalization condition (15).

Once the perturbation functions are known, the components of the overall elastic tensor $\bar{\mathbb{C}}$ are computed through Eq. (42) and read

$$\bar{\mathbb{C}} = \begin{pmatrix} 0.4633 & 0.0901 & 0 \\ 0.0901 & 0.4633 & 0 \\ 0 & 0 & 0.1765 \end{pmatrix}, \quad (79)$$

where the reference elastic stiffness C_r is taken equal to C_{1111}^{SiC} . The overall dimensionless mass density $\bar{\rho}$, results equal to 0.5220 from the second of (23), where the reference mass density ρ_r is taken equal to ρ^{SiC} .

5.2. Spectro-hierarchical approach

In this section, the dimensional counterparts of the object involved in the procedure are reported, in line with the notation used for (70). This has the aim of offering a more expressive presentation of the results as well as an immediate comparison with the plots reported. The steps outlined at the end of Section 4.2 will be detailed in the following.

Steps 2 and 3:

By choosing, for instance, $\alpha = 0.1$, we shall set $\sigma = 0.0975 < 0.15644$, where the latter is obtained directly from (71). Hence, $\Gamma \equiv \Gamma^* := \lceil 2/\sigma \rceil = 21$. We will choose $\varepsilon = 1/21$, so that the material considered is feasible according to the definition given before. We set $n = 2$.

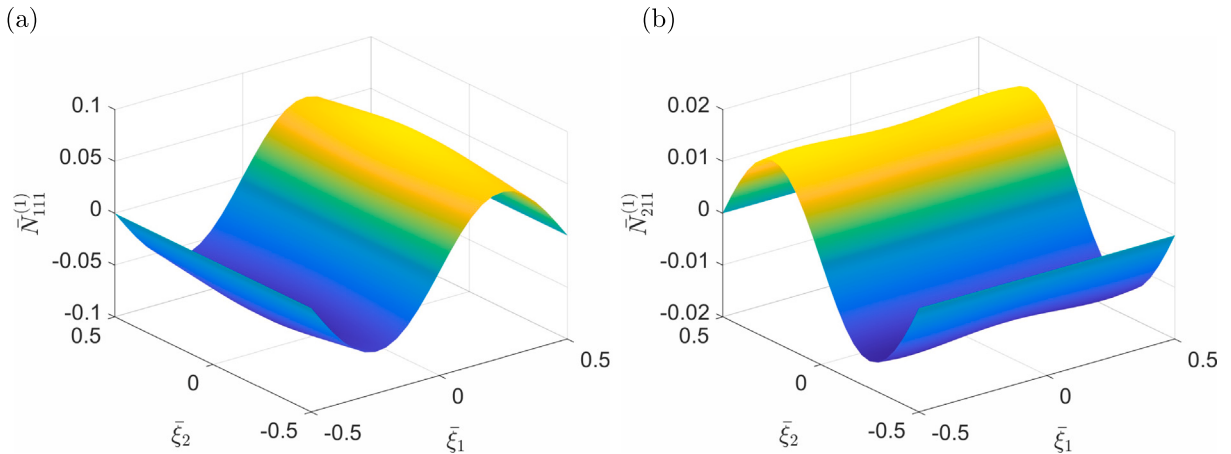


Fig. 2. Perturbation functions $\bar{N}_{111}^{(1)}$ (a) and $\bar{N}_{211}^{(1)}$ (b), as solutions of cell problem (16).

Steps 4 and 5:

Let us recall the expression of $\mathcal{M}(\hat{x}_1, \hat{x}_2; r^-, r^+)$ given in (70). By symmetry, its first order Fourier expansion reads as

$$\mathcal{M}(\hat{x}_1, \hat{x}_2; r^-, r^+) \sim r^- + c_0(r^+ - r^-) + c_1(r^+ - r^-) \left[\cos\left(\frac{\hat{x}_1}{\varepsilon}\right) + \cos\left(\frac{\hat{x}_2}{\varepsilon}\right) \right], \quad (80)$$

where c_0 and c_1 are numerically determined coefficients obtained by setting $\alpha = w = 0.1$. Hence, we will now consider the following (truncated) expansions

$$\begin{aligned} \hat{\rho} &= \hat{\rho}^{[0]} + \hat{\rho}^{[1]}, \\ \hat{C}_{ijkh} &= \hat{C}_{ijkh}^{[0]} + \hat{C}_{ijkh}^{[1]}, \end{aligned} \quad (81)$$

where

$$\begin{aligned} \hat{\rho}^{[0]} &:= \hat{\rho}^a + c_0(\hat{\rho}^b - \hat{\rho}^a), & \hat{\rho}^{[1]} &:= c_1(\hat{\rho}^b - \hat{\rho}^a) \left[\cos\left(\frac{\hat{x}_1}{\varepsilon}\right) + \cos\left(\frac{\hat{x}_2}{\varepsilon}\right) \right], \\ \hat{C}_{ijkh}^{[0]} &:= \hat{C}_{ijkh}^a + c_0(\hat{C}_{ijkh}^b - \hat{C}_{ijkh}^a), & \hat{C}_{ijkh}^{[1]} &:= c_1(\hat{C}_{ijkh}^b - \hat{C}_{ijkh}^a) \left[\cos\left(\frac{\hat{x}_1}{\varepsilon}\right) + \cos\left(\frac{\hat{x}_2}{\varepsilon}\right) \right]. \end{aligned} \quad (82)$$

We will choose the prototype (76) of (zero-averaging) source with the case studies described in (77) and (78).

Let us finally introduce the following notation for the nonvanishing components of the tensor $C_{ijkh}^{[0]}$:

$$A^{[j]} := \hat{C}_{1111}^{[j]} \equiv \hat{C}_{2222}^{[j]}, \quad B^{[j]} := \hat{C}_{1122}^{[j]}, \quad C^{[j]} := \hat{C}_{1212}^{[j]}, \quad (83)$$

as they will be used later on.

Order one

As a consequence of the special choice for (76), we can carry out the computations concerning the first step in an exceptionally simple way. In particular, the only non-trivial problem involves the first component $v_1^{[1]}$ of the solution vector, as it is immediate to realize that the component $v_2^{[1]}$ is identically zero. We shall solve the initial-values problem for $s = 1$ for the case study 1, being the second an easier particular case.

$$\begin{cases} \hat{\rho}^{[0]} \hat{v}_1^{[1]} - A^{[0]} \hat{v}_{1,11}^{[1]} = \Omega \sin(\hat{x}_1 - \hat{t}), \\ \hat{v}_1^{[1]}(\hat{x}, 0) = M \sin(\hat{x}_1), \\ \hat{v}_1^{[1]}(\hat{x}, 0) = -M \cos(\hat{x}_1). \end{cases} \quad (84)$$

The solution to this special model is given immediately by Duhamel's formula

$$\begin{aligned} \hat{v}_1^{[1]}(\hat{x}_1, \hat{t}) &= \frac{M}{2} \left[\sin(\hat{x}_1 + 2\pi\hat{t}) + \sin(\hat{x}_1 - 2\pi\hat{t}) \right] - \frac{ML}{4\pi c} \int_{\hat{x}_1 - 2\pi\hat{t}}^{\hat{x}_1 + 2\pi\hat{t}} \cos(\hat{y}_1) d\hat{y}_1 + \\ &\quad - \frac{\Omega L^2}{2\pi c r_0} \int_0^{\hat{t}} \int_{\hat{x}_1 - 2\pi(\hat{t} - \hat{\tau})}^{\hat{x}_1 + 2\pi(\hat{t} - \hat{\tau})} \sin(\hat{\tau}\hat{\theta} - \hat{y}_1) d\hat{y}_1 d\hat{\tau}, \end{aligned} \quad (85)$$

where we have set $c := \sqrt{A^{[0]}/\hat{\rho}^{[0]}}$, clearly subject to the non-resonance condition $\hat{\theta} \neq 2\pi$.

The solution corresponding to case study 2, holding under the same condition, is straightforwardly obtained from the previous one simply by setting $M = 0$.

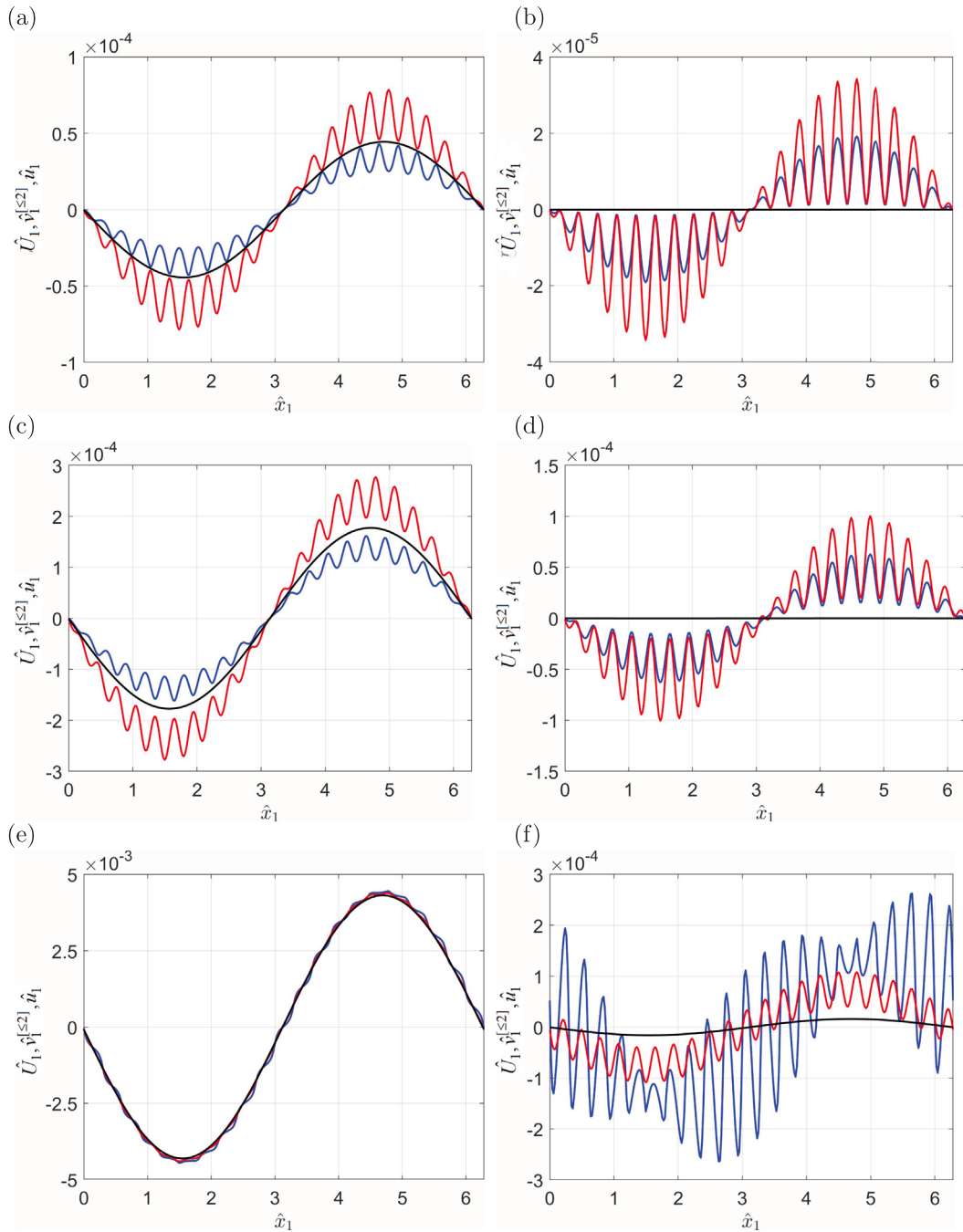


Fig. 3. Zero initial conditions. Solutions $\hat{U}_1$ (black curves), $\hat{v}_1^{[2]}$ (red curves), and $\hat{u}_1$ (blue curves) vs $\hat{x}_1$ with $\hat{x}_2 = 0$ at $\hat{t}_1 = 1/100$ (a), $\hat{t} = 1/50$ (c), and at $\hat{t} = 1/10$ (e). Difference between solutions $\hat{U}_1$, $\hat{v}_1^{[2]}$, $\hat{u}_1$ and $\hat{v}_1^{[1]}$ vs $\hat{x}_1$ with $\hat{x}_2 = 0$ at $\hat{t}_1 = 1/100$ (b), $\hat{t} = 1/50$ (d), and at $\hat{t} = 1/10$ (f).

Order two

Let us now write system (58) explicitly. It is worthy to stress that the special properties $\hat{u}_1 = \hat{u}_1(\hat{x}_1, \hat{t})$ and $\hat{u}_2 \equiv 0$ simplify the r.h.s. remarkably. The whole system, subject to null initial conditions, reads as

$$\begin{cases} \hat{\rho}^{[0]} \ddot{\hat{v}}_1^{[2]} - D_1^{(0)} \{ \hat{v}_1^{[2]}, \hat{v}_2^{[2]} \} = A^{[1]} \hat{v}_{1,1}^{[1]} + \left(A^{[1]} \hat{v}_{1,1}^{[1]} \right)_{,1} - \hat{\rho}^{[1]} \ddot{\hat{v}}_1^{[1]}, \\ \hat{\rho}^{[0]} \ddot{\hat{v}}_2^{[2]} - D_2^{(0)} \{ \hat{v}_1^{[2]}, \hat{v}_2^{[2]} \} = \left(B^{[1]} \hat{v}_{1,1}^{[1]} \right)_{,2}, \end{cases} \quad (86)$$

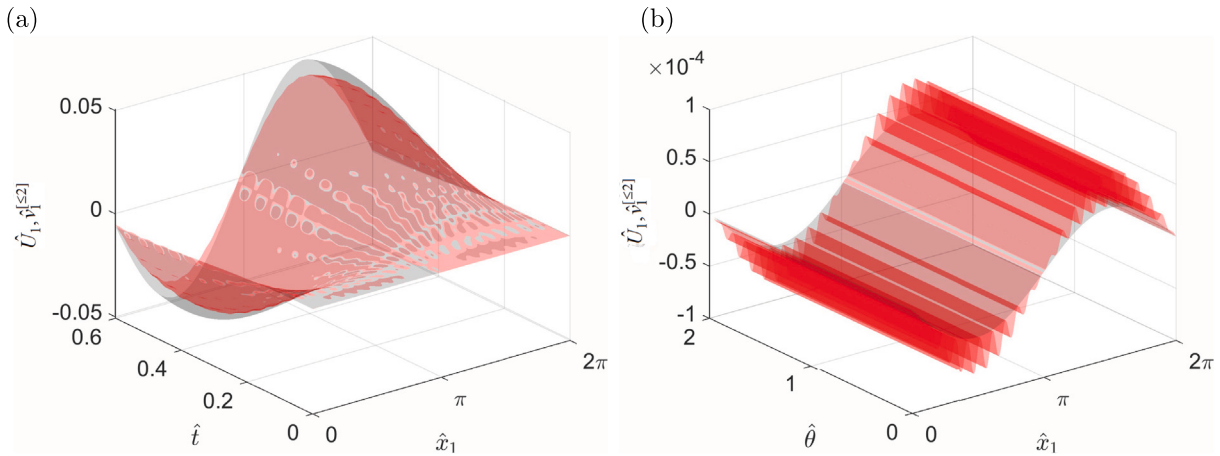


Fig. 4. Zero initial conditions. Solutions $\hat{U}_1$ (black surface) and $\hat{v}_1^{[≤2]}$ (red surface) vs $\hat{x}_1$ and $\hat{t}$ for $\hat{x}_2 = 0$ and $\hat{\theta} = 0.2$ (a). Solutions $\hat{U}_1$ (black surface) and $\hat{v}_1^{[≤2]}$ (red surface) vs $\hat{x}_1$ and $\hat{\theta}$ for $\hat{x}_2 = 0$ and $\hat{t} = 1/100$ (b).

where the spatial operator

$$\mathcal{D}^{(0)}\{f, g\} := ((A^{(0)}f_{,11} + \Gamma^{(0)}f_{,22}) + (B^{(0)} + \Gamma^{(0)})g_{,12}, (B^{(0)} + \Gamma^{(0)})f_{,12} + (\Gamma^{(0)}g_{,11} + A^{(0)}g_{,22})), \quad (87)$$

appears at any stage of the hierarchy as well as the whole structure of the l.h.s. described in (86).

It is easy to realize that the r.h.s. of (86), which can be immediately computed from (58) via (82), (83) and the above found $v_1^{[1]}$, is quite cumbersome and it is not reported here. However, as already described in Section 4.2, these r.h.s., namely $\mathcal{G}^{[2]}(\hat{\mathbf{x}}, \hat{t})$, can be expanded in Fourier series alongside the solution $\hat{v}^{[2]}$, i.e.

$$\hat{v}^{[2]}(\hat{\mathbf{x}}, \hat{t}) = \sum_{\mathbf{v} \in \mathbb{Z}^2} c_{\mathbf{v}}^{[2]}(\hat{t}) e^{i\mathbf{v} \cdot \hat{\mathbf{x}}}, \quad \mathcal{G}^{[2]}(\hat{\mathbf{x}}, \hat{t}) = \sum_{\mathbf{v} \in \mathbb{Z}^2} g_{\mathbf{v}}^{[2]}(\hat{t}) e^{i\mathbf{v} \cdot \hat{\mathbf{x}}}. \quad (88)$$

For the particular case of (86), the expansion consists of just a "reasonably small" number of non-vanishing harmonics but, more importantly, because of the dimension, the diagonalization of the system (58), where

$$A_{\mathbf{v}} = \left(\frac{2\pi}{L} \right)^2 \begin{pmatrix} A_0 v_1^2 + \Gamma_0 v_2^2 & (B_0 + \Gamma_0) v_1 v_2 \\ (B_0 + \Gamma_0) v_1 v_2 & \Gamma_0 v_1^2 + A_0 v_2^2 \end{pmatrix},$$

relies on a particularly simple and exact expression for its eigenvalues

$$\lambda_{1,2} = (2\pi L^{-1})^2 (A_0 + \Gamma_0)(v_1^2 + v_2^2) \mp (2\pi L^{-1})^2 \sqrt{(A_0 - \Gamma_0)^2 (v_1^2 - v_2^2)^2 + 4(B_0 + \Gamma_0)^2 v_1^2 v_2^2},$$

defining the diagonal matrix $\mathbf{A}$, while the matrix $\mathbf{P}$ collects the eigenvectors and it is expressed in the form

$$\mathbf{P} = \begin{pmatrix} \lambda_1 - (2\pi L^{-1})^2 (\Gamma_0 v_1^2 + A_0 v_2^2) & \lambda_2 - (2\pi L^{-1})^2 (\Gamma_0 v_1^2 + A_0 v_2^2) \\ (2\pi L^{-1})^2 (B_0 + \Gamma_0) v_1 v_2 & (2\pi L^{-1})^2 (B_0 + \Gamma_0) v_1 v_2 \end{pmatrix}.$$

Remark 5.1. The determination of the Fourier coefficients appearing in (88) represents perhaps the main computational "challenge" of the whole scheme, being the mentioned coefficients depending on time.

5.3. Solutions from the asymptotic homogenization and spectro-hierarchical procedures

In order to compare the results obtained from the asymptotic homogenization procedure described in Section 3 with those derived from the spectro-hierarchical approach introduced in Section 4, we first consider zero initial conditions, as specified in Eq. (78). Assuming the reference stiffness and density to be $C_r = C_{1111}^{SiC}$ and $\rho_r = \rho^{SiC}$, respectively, the first column of Fig. 3 illustrates the comparison among three solutions: the homogenized displacement field $\hat{U}_1$ (black curves), the second-order spectro-hierarchical solution $\hat{v}_1^{[≤2]}$ (red curves), and the heterogeneous reference solution $\hat{u}_1$ (blue curves). All quantities are plotted as functions of the normalized spatial coordinate $\hat{x}_1$ for $\hat{x}_2 = 0$, considering three distinct dimensionless time instants, namely $\hat{t}_1 = 1/100$, $\hat{t}_2 = 1/50$, and $\hat{t}_3 = 1/10$. The heterogeneous solution $\hat{u}_1$ has been obtained through a finite element simulation of a periodic cluster composed of 21 cells along the horizontal direction and a single cell along the vertical one. As previously detailed, this numerical setup ensures a sufficiently accurate representation of the microscale response. The second column of Fig. 3 displays the differences between the solutions $\hat{U}_1$, $\hat{v}_1^{[≤2]}$, and $\hat{u}_1$ with respect to $\hat{v}_1^{[1]}$, i.e., the first-order approximation provided by the spectro-hierarchical

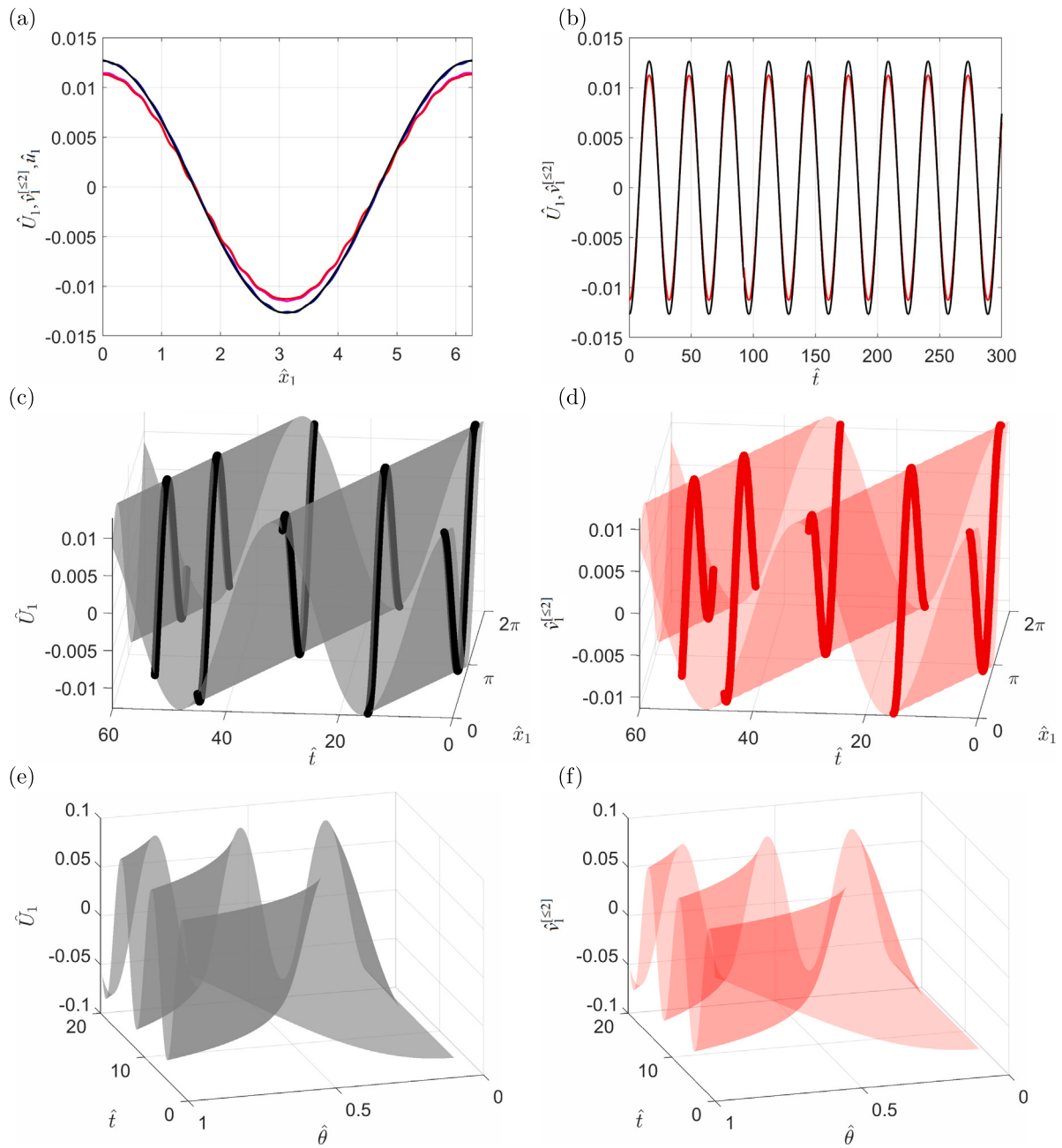


Fig. 5. Steady-state solutions: (a) displacement $\hat{U}_1$ from asymptotic homogenization (black curve) and corresponding heterogeneous solution $\hat{u}_1$ (blue curve), and displacement $\hat{v}_1^{[≤2]}$ from spectro-hierarchical scheme (red curve) and corresponding heterogeneous solution $\hat{u}_1$ (magenta curve). Time instant equal to $\hat{t}_3$; (b) $\hat{U}_1$ (black) and $\hat{v}_1^{[≤2]}$ (red) vs $\hat{t}$ at a point $(\hat{x}_1, \hat{x}_2) = (\pi, 0)$; (c) $\hat{U}_1$ as a function of $\hat{x}_1$ and $\hat{t}$ at $\hat{\theta} = 0.2$; (d) $\hat{v}_1^{[≤2]}$ as a function of $\hat{x}_1$ and $\hat{t}$ at $\hat{\theta} = 0.2$; (e) $\hat{U}_1$ as a function of $\hat{t}$ and $\hat{\theta}$ at $\hat{x}_1 = \pi$; (f) $\hat{v}_1^{[≤2]}$ as a function of $\hat{t}$ and $\hat{\theta}$ at $\hat{x}_1 = \pi$.

method, evaluated at the same three time instants. This representation highlights more clearly the improved accuracy of the second-order spectro-hierarchical solution $\hat{v}_1^{[≤2]}$, which successfully captures the oscillatory behavior and local fluctuations induced by the microstructural heterogeneity at all considered times. Fig. 4(a) further shows the evolution of the homogenized and spectro-hierarchical solutions, $\hat{U}_1$ and $\hat{v}_1^{[≤2]}$, as functions of $\hat{x}_1$ and $\hat{t}$, for a dimensionless frequency $\hat{\theta} = \theta L \sqrt{\rho_r/C_r} = 0.2$. In contrast, Fig. 4(b) depicts the same solutions as functions of $\hat{x}_1$ and $\hat{\theta}$, evaluated at the first time instant $\hat{t} = \hat{t}_1$. When the initial conditions defined in Eqs. (77) are instead considered, the results corresponding to the steady-state regime are presented in Fig. 5. In particular, Fig. 5(a) shows the displacement component along the direction $\mathbf{e}_1$ as a function of $\hat{x}_1$ for $\hat{x}_2 = 0$ at time instant $\hat{t}_3$. The homogenized solution

$\hat{U}_1$ (black curve) and the heterogeneous finite element solution $\hat{u}_1$ (blue curve) exhibit an excellent agreement, confirming the robustness of the homogenized model. The spectro-hierarchical steady-state solution $\hat{v}_1^{[\leq 2]}$ is represented by the red curve, while the corresponding heterogeneous reference response is plotted in magenta. Once again, the spectro-hierarchical formulation provides an accurate reproduction of the microscale behavior, capturing both the global and local features of the displacement field. For $\hat{x}_2 = 0$ and $\hat{\theta} = 0.2$, Fig. 5(b) illustrates the time evolution of the displacement components $\hat{U}_1$ and $\hat{v}_1^{[\leq 2]}$ at $\hat{x}_1 = \pi$. Figs. 5(c) and 5(d) display these quantities separately as functions of $\hat{t}$ and $\hat{x}_1$, highlighting the differences in temporal and spatial modulation. Finally, by fixing $\hat{x}_1 = \pi$, Figs. 5(e) and 5(f) present the displacements $\hat{U}_1$ and $\hat{v}_1^{[\leq 2]}$ as functions of both $\hat{t}$ and $\hat{\theta}$, providing a comprehensive picture of their dynamic and frequency-dependent behaviors.

6. Conclusions

The present study has offered a systematic investigation of the transient elastic response of two-dimensional periodic composites, with particular emphasis on capturing both macroscopic and microstructural dynamics. Effective macroscopic equations were derived through asymptotic homogenization, yielding a computationally efficient reduced-order model that accurately reproduces long-wavelength and low-frequency responses. In parallel, a spectro-hierarchical perturbative framework was employed, providing a robust hierarchical approach for resolving higher-order fluctuations and accurately capturing fine-scale spatial and temporal features. By relying on Fourier expansions, hierarchical truncations, and an explicit treatment of transient effects, this method recovers not only the first-order homogenized response but also higher-order corrections induced by the material microstructure, thereby bridging the gap between homogenized and fully heterogeneous descriptions. A central ingredient of the spectro-hierarchical procedure is the perturbative strategy based on the decay properties of Fourier coefficients associated with analytic functions. Since the constitutive parameters of heterogeneous composites often exhibit discontinuities, the applicability of the method requires their appropriate regularization. This can be achieved through smoothing techniques, such as mollifiers or Lanczos-type filters (Bacigalupo, De Bellis, & Gnecco, 2019), which approximate discontinuous periodic coefficients with analytic functions whose Fourier series display a sufficiently rapid decay. Such decay guarantees the validity of the perturbative construction and ensures consistency with the underlying theorems. Related approximation strategies for differential problems with discontinuous periodic coefficients, based on Fourier transforms or Fourier expansions, have been developed by the authors in previous works (Bacigalupo et al., 2019; Elefante, De Bellis, & Bacigalupo, 2023; Elefante, Fantoni, De Bellis, & Bacigalupo, 2025). The numerical analyses performed on the SiC-based microstructured composite clearly demonstrate the benefits of the spectro-hierarchical method. For zero initial conditions, the second-order spectro-hierarchical solution precisely recovers microstructural fluctuations in the heterogeneous displacement field that are missed by the first-order homogenized solution, while maintaining excellent consistency with fully resolved numerical simulations. For non-zero initial conditions, the approach accurately captures the transient spatio-temporal evolution of displacement components, effectively tracking elastic wave propagation through the microstructure and resolving frequency-dependent behaviors. These results underscore the method's robustness and its capacity to model short-time dynamics and high-frequency or localized excitations, providing insights that are difficult to obtain through standard homogenization techniques alone. Overall, this work establishes the combined use of asymptotic homogenization and the spectro-hierarchical framework as a versatile and comprehensive toolkit for the transient analysis of periodic composites. The study highlights the added value of explicitly considering transient dynamics and microstructural corrections. Future research will focus on extending the spectro-hierarchical methodology to three-dimensional microstructures, incorporating viscoelastic and thermo-mechanical couplings, and addressing microscale nonlinearities. These advancements are expected to broaden the applicability of the proposed approach to cutting-edge engineering materials and functional composites, where transient, frequency-dependent, and localized behaviors critically influence the performance, reliability, and design optimization.

CRedit authorship contribution statement

Alessandro Fortunati: Writing – review & editing, Writing – original draft, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Francesca Fantoni:** Writing – review & editing, Writing – original draft, Methodology, Investigation, Formal analysis, Data curation, Conceptualization. **Andrea Bacigalupo:** Writing – review & editing, Writing – original draft, Methodology, Investigation, Funding acquisition, Formal analysis, Data curation, Conceptualization.

Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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Appendix A. Solution of recursive differential problems

Asymptotic expansion (12) of the micro field equation in powers of the parameter ε leads to a series of recursive differential problems in terms of sensitivities $\bar{u}_i^{(j)}$, with $j = 0, 1, 2, \dots$. At the order ε^{-2} , the resulting partial differential equation and relative initial condition take the form

$$\begin{cases} \left(\bar{C}_{ijhk}^m \bar{u}_{h,k}^{(0)} \right)_{,j} = f_i^{(0)}(\bar{\mathbf{x}}, \bar{t}), \\ \bar{u}_i^{(0)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0) = \bar{v}_{0_i}(\bar{\mathbf{x}}), \\ \frac{\partial \bar{u}_i^{(0)}}{\partial \bar{t}}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0) = \bar{w}_{0_i}(\bar{\mathbf{x}}). \end{cases} \quad (89)$$

The problem (89) is equipped with interface conditions

$$\left[\left[\bar{u}_i^{(0)} \right] \right]_{\bar{\xi} \in \Sigma_1} = 0, \quad \left[\left[\bar{C}_{ijhk}^m \bar{u}_{h,k}^{(0)} n_j \right] \right]_{\bar{\xi} \in \Sigma_1} = 0. \quad (90)$$

The solvability condition for problem (89) in the class of $\bar{Q}$ -periodic functions involves that $f_i^{(0)} = 0$ (see Bakhvalov and Panasenko (1984)) and, in view of this, the sensitivity $\bar{u}_i^{(0)}$ results to be $\bar{\xi}$ -independent and assumes the form

$$\bar{u}_i^{(0)} = \bar{U}_i(\bar{\mathbf{x}}, \bar{t}). \quad (91)$$

Taking into account the solution (91) of the problem at the order ε^{-2} , the micro recursive differential problem at the order ε^{-1} results

$$\begin{cases} \left(\bar{C}_{ijhk}^m \bar{u}_{h,k}^{(1)} \right)_{,j} + \bar{C}_{ijhk}^m \frac{\partial \bar{U}_h}{\partial \bar{x}_k} = f_i^{(1)}(\bar{\mathbf{x}}, \bar{t}), \\ \bar{u}_i^{(1)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0) = 0, \\ \frac{\partial \bar{u}_i^{(1)}}{\partial \bar{t}}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0) = 0. \end{cases} \quad (92)$$

Its interface conditions read

$$\left[\left[\bar{u}_i^{(1)} \right] \right]_{\bar{\xi} \in \Sigma_1} = 0, \quad \left[\left[\bar{C}_{ijhk}^m \left(\frac{\partial \bar{U}_h}{\partial \bar{x}_k} + \bar{u}_{h,k}^{(1)} \right) n_j \right] \right]_{\bar{\xi} \in \Sigma_1} = 0. \quad (93)$$

Denoting again with $\langle \cdot \rangle = \frac{1}{|\bar{Q}|} \int_{\bar{Q}} (\cdot) d\bar{\xi}$, because of solvability condition of problem (92), the source term reads

$$f_i^{(1)}(\bar{\mathbf{x}}, \bar{t}) = \left\langle \bar{C}_{ijhk}^m \right\rangle \frac{\partial \bar{U}_h}{\partial \bar{x}_k}, \quad (94)$$

where the $\bar{Q}$ -periodicity of micro elasticity tensor and the divergence theorem entail that $f_i^{(1)}(\bar{\mathbf{x}}, \bar{t}) = 0$. Solution of problem at the order ε^{-1} , therefore, assumes the form

$$\bar{u}_i^{(1)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t}) = \bar{N}_{ipq_1}^{(1)}(\bar{\xi}, \bar{t}) \frac{\partial \bar{U}_p(\bar{\mathbf{x}}, \bar{t})}{\partial \bar{x}_{q_1}}. \quad (95)$$

The solution (91) of problem at the order ε^{-2} and the solution (95) of problem at the order ε^{-1} lead to express the micro differential problem at the order ε^0 in the following form, symmetrized with respect to indexes q_1 and q_2

$$\begin{cases} \left(\bar{C}_{ijhk}^m \bar{u}_{h,k}^{(2)} \right)_{,j} + \frac{1}{2} \left[\left(\bar{C}_{ijhq_2}^m \bar{N}_{hpq_1}^{(1)} \right)_{,j} + \bar{C}_{iq_1pq_2}^m + \bar{C}_{iq_2hj}^m \bar{N}_{hpq_1,j}^{(1)} + \right. \\ \left. + \left(\bar{C}_{ijhq_1}^m \bar{N}_{hpq_2}^{(1)} \right)_{,j} + \bar{C}_{iq_2pq_1}^m + \bar{C}_{iq_1hj}^m \bar{N}_{hpq_2,j}^{(1)} \right] \frac{\partial^2 \bar{U}_p}{\partial \bar{x}_{q_1} \partial \bar{x}_{q_2}} - \bar{\rho}^m \frac{\partial^2 \bar{U}_i}{\partial \bar{t}^2} = f_i^{(2)}(\bar{\mathbf{x}}, \bar{t}), \\ \bar{u}_i^{(2)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0) = 0, \\ \frac{\partial \bar{u}_i^{(2)}}{\partial \bar{t}}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t} = 0) = 0. \end{cases} \quad (96)$$

Interface conditions for problem (96) read

$$\left[\left[\bar{u}_i^{(2)} \right] \right]_{\bar{\xi} \in \Sigma_1} = 0, \quad \left[\left[\bar{C}_{ijhk}^m \left(\bar{N}_{hpq_1}^{(1)} \frac{\partial^2 \bar{U}_p}{\partial \bar{x}_{q_1} \partial \bar{x}_k} + \bar{u}_{h,k}^{(2)} \right) n_j \right] \right]_{\bar{\xi} \in \Sigma_1} = 0. \quad (97)$$

The solvability condition for problem (96) guarantees that

$$f_i^{(2)}(\bar{\mathbf{x}}, \bar{t}) = \frac{1}{2} \left\langle \bar{C}_{iq_2hj}^m \bar{N}_{hpq_1,j}^{(1)} + \bar{C}_{iq_1pq_2}^m + \bar{C}_{iq_1hj}^m \bar{N}_{hpq_2,j}^{(1)} + \bar{C}_{iq_2pq_1}^m \right\rangle \frac{\partial^2 \bar{U}_p}{\partial \bar{x}_{q_1} \partial \bar{x}_{q_2}} - \langle \bar{\rho}^m \rangle \frac{\partial^2 \bar{U}_i}{\partial \bar{t}^2}, \quad (98)$$

from which the solution $\bar{u}_i^{(2)}$ at the order ε^0 reads

$$\bar{u}_i^{(2)}(\bar{\mathbf{x}}, \bar{\xi}, \bar{t}) = \bar{N}_{ipq_1q_2}^{(2)}(\bar{\xi}, \bar{t}) \frac{\partial^2 \bar{U}_p(\bar{\mathbf{x}}, \bar{t})}{\partial \bar{x}_{q_1} \partial \bar{x}_{q_2}} + \bar{N}_{ip}^{(2,2)}(\bar{\xi}, \bar{t}) \frac{\partial^2 \bar{U}_p(\bar{\mathbf{x}}, \bar{t})}{\partial \bar{t}^2}. \quad (99)$$

The solution of higher-order microscopic differential problems can be obtained following the procedure detailed in the present Appendix without introducing further difficulties.

Appendix B. B: Quantitative estimates of the spectro-hierarchical homogenization scheme

The aim of this appendix is to give a sketch of how the quantitative tools used in Fortunati et al. (2024) lead to the aforementioned bound (61). A paradigmatic example will be presented here, in which some crucial passages of the quantitative estimates carried out in Fortunati et al. (2024) can be derived very easily. This will show the core of the argument behind the possibility to obtain a remarkably strong decay of the norm of $\hat{v}_i^{[s]}$.

Firstly, it is important to mention that the basic tool is the well known Cauchy estimate, which allows to bound the derivatives of a holomorphic functions via a suitable restriction of the domain. Hence, to bound the various objects of the spectro-hierarchical procedure, we set up a restriction scheme

$$d_s := s/(2p), \quad (100)$$

where $p \in \mathbb{N}$ is fixed, and a correspondent sequence of nested domains $D_{(1-d_s)\varepsilon\sigma}$ so that for $s = p$ the final domain shall be $D_{\varepsilon\sigma/2}$. Here $D_{(1-d_s)\varepsilon\sigma}$ represents the complexification of domain $D \in \mathbb{R}^2 \times \mathbb{R} \ni (x, t)$, hence $\lim_{p \rightarrow 0} D_{\varepsilon\sigma} = D$.

The mentioned Cauchy bounds can be used to derive estimates of the r.h.s. of Eq. (60). By using a result on the inversion of the n -dimensional wave operator (Fortunati et al., 2024, Prop.4.3), and the generating function method (Fortunati et al., 2024, Lemma 5.1), we obtain

$$\left\| v_i^{[s]} \right\|_{(1-d_s)\varepsilon\sigma} \leq \gamma_s, \quad (101)$$

with

$$\gamma_{jq+k} = \tilde{\mathcal{A}}_p \chi^k \left\{ \chi^{jq} + B_p \alpha^q \sum_{l=1}^j \chi^{q(j-l)} ((1+B_p)\alpha^q)^{l-1} \right\}, \quad (102)$$

where $\tilde{\mathcal{A}}_p$ and B_p are positive constants depending on p . The index $s \in \mathbb{N}$ has been written as $s = jq + k$ for all $j \geq 0$ and all $k = 1, \dots, q$, where q has been defined in (63). The real numbers $\chi < 1$ is related to the analyticity of the forcing $\hat{b}_i$ while $\alpha < 1$ to the one of the functions $\hat{\rho}$ and $\hat{C}_{ijhk}$. By acting on the analyticity radii we can obtain, for instance, $\alpha, \chi \leq 1/e$. This bound cannot be substantially improved.

The problem lies in the fact that either $\tilde{\mathcal{A}}_p$ or B_p grow with p and this is related to the fact that a higher normalization order gives rise to a narrower space between each nested domain of the sequence, and then worse Cauchy bounds at each step. However, the key point to obtain an actual decay of the majorising sequence γ_s , lies in the separation of the Fourier harmonics of a rapidly oscillating function as $\hat{\rho}$ and $\hat{C}_{ijhk}$, see Fortunati et al. (2024, Fig. 3). This property allows to obtain the exponent jq appearing in (102). In this way, as q is controlled by ε^{-1} , both α^s, χ^s can be made arbitrarily small.

Example B.1. Let us choose a paradigmatic dependence on p for simplicity of discussion: $\tilde{\mathcal{A}}_p = B_p = e^4 p$. Then, by choosing $p = n_p q$ as in Fortunati et al. (2024), where we recall that $q := (\Gamma\varepsilon)^{-1}$, we have

$$(1+B_p)\alpha^q = (1+e^4 n_p q)\alpha^q \leq 2e^4 n_p q \alpha^q \leq e^5 n_p q e^{-q} \leq e^6 n_p e^{-q/2}$$

where we have used $\alpha \leq 1/e$ and $qe^{-q} \leq e^{1-q/2}$.

To ensure that $(1+B_p) \leq 1/e < 1$ it is sufficient to choose

$$n_p = \lfloor \exp(q/2 - 6) \rfloor.$$

Note that $n_p \geq 1$ for sufficiently small ε .

With this choice, we obtain

$$\gamma_{jq+k} \leq e^4 p e^{-k} \left\{ e^{-jq} + e^{-1} \sum_{l=1}^j e^{-q(j-l)} e^{1-l} \right\} \leq e^4 p e^{-k} \{ e^{-jq} + 2e^{-j} \} \leq 3e^4 p e^{-(j+k)} \quad (103)$$

where we have used $\sum_{l=1}^j e^{-q(j-l)} e^{1-l} = (e^{q-1} - 1)^{-1} (e^{q-j} - e^{q-qj}) \leq 2e^{1-j}$. Bound (103) is the equivalent of Fortunati et al. (2024, (48)). Let us now evaluate it at $j = n_p$ and $k = 0$, obtaining

$$\gamma_p \leq 3e^4 (n_p q) e^{-n_p} \leq 6e^3 q e^{-n_p/2},$$

where we have used $n_p e^{-n_p} \leq (2/e) e^{-n_p/2}$.

As $n_p = \lfloor e^{q/2-6} \rfloor \geq e^{q/2-6} - 2$, one has

$$e^{-n_p/2} \leq \exp\left(1 - \frac{1}{2} e^{q/2-6}\right),$$

hence

$$\gamma_p \leq 6e^4 q \exp\left(-\frac{1}{2} e^{q/2-6}\right). \quad (104)$$

It is immediate to realize how fast the latter approaches zero as q increases, meaning that the contribution of the latest terms of the sequence $\hat{v}_i^{[s]}$ are practically negligible, see Fig. 6. Although the actual derivation of (61) is technical in the general case, it is not difficult to imagine how (104) yields a such a small remainder.

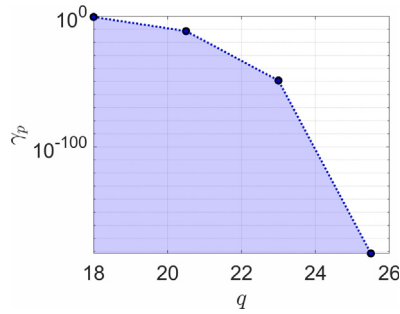


Fig. 6. Values of γ_p for various q .

$q \sim \varepsilon^{-1}$	$6e^4 q \exp\left(-\frac{1}{2}e^{q/2-6}\right)$
18	2.56×10^{-1}
20.5	4.02×10^{-12}
23	5.53×10^{-50}
25.5	2.92×10^{-182}

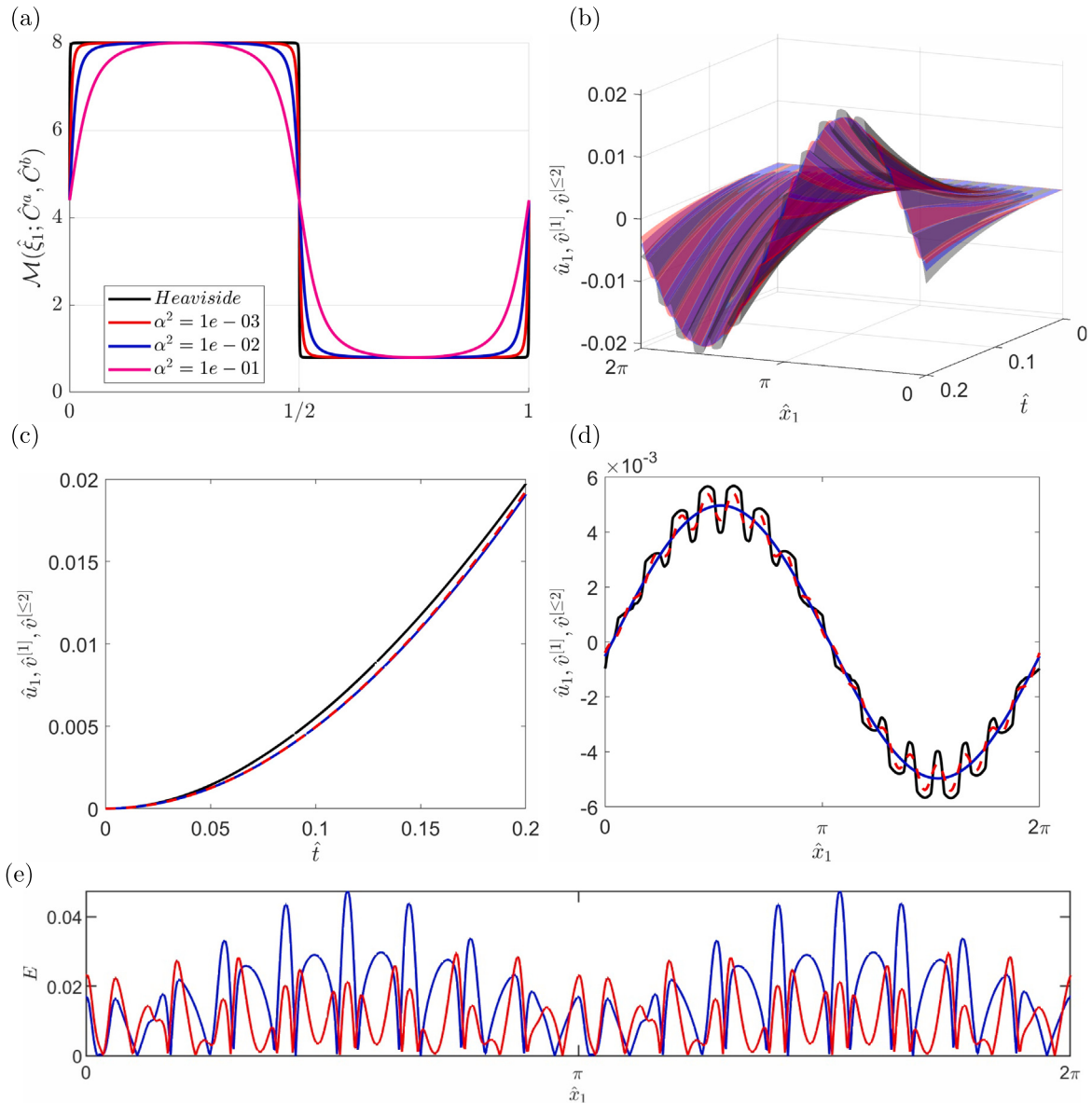


Fig. 7. (a) Elastic constant behaving as a square wave (black curve) and mollifying function $\mathcal{M}$ for three different values of parameter α , (b) Solution of heterogeneous problem (black surface), $v^{[1]}$ (blue surface) and $v^{[2]}$ (red surface), (c) Section of the surfaces of panel (b) for $\hat{x}_1 = \pi/2$, (d) Section of the surfaces of panel (b) for $\hat{t} = 0.1$, (e) Relative error between the heterogeneous solution and the approximated one as a function of $\hat{x}_1$ for $\hat{t} = 0.1$.

Appendix C. C: One-dimensional wave propagation in layered media

Let us consider a laminated medium composed of two different layers arranged in succession. The aim is to investigate wave propagation in the direction perpendicular to the stratification. The partial differential equation governing this one-dimensional problem, assuming null initial conditions and making use of the nondimensionalization introduced in Section 4.1, is given as

$$\begin{cases} \hat{\rho}^m(\hat{x}_1) \ddot{\hat{v}}(\hat{x}_1, \hat{t}) - \frac{\partial}{\partial \hat{x}_1} \left(\hat{C}^m(\hat{x}_1) \frac{\partial \hat{v}(\hat{x}_1, \hat{t})}{\partial \hat{x}_1} \right) = \sin(\hat{x}_1 - \pi \hat{t}), \\ \hat{v}(\hat{x}_1, 0) = 0, \\ \dot{\hat{v}}(\hat{x}_1, 0) = 0. \end{cases} \quad (105)$$

Assuming that the two layers have the same thickness, the dimensionless elastic constants of the layers, denoted respectively by the superscripts a and b , are $\hat{C}^a = 8$ and $\hat{C}^b = 0.8$, while the densities are $\hat{\rho}^a = 5/4$ and $\hat{\rho}^b = 3/4$. These quantities vary discontinuously in the cell, as illustrated by the black curve in Fig. 7(a). Inspired by the work presented in Fortunati et al. (2024), the aim is to test the spectro-hierarchical homogenization technique described in Section 4 in the case where the material properties of the microstructured medium exhibit discontinuities within the periodic cell. To this end, the following function is defined

$$\mathcal{M}(\hat{\xi}_1; r^-, r^+) = \frac{r^- + r^+}{2} + \frac{r^+ - r^-}{2} \frac{\sin(\hat{\xi}_1)}{\sqrt{\sin^2(\hat{\xi}_1) + \alpha^2 \cos(\hat{\xi}_1)}}, \quad (106)$$

where r^- and r^+ are its minimum and maximum values, respectively. The parameter α controls the real analyticity radius of the function, and Fig. 7(a) shows how the square wave is approximated as this parameter varies. In order to apply the spectro-hierarchical homogenization scheme, the elastic constant and the mass density are assumed to obey the following laws within the periodic unit cell

$$\hat{C} := \mathcal{M}(\hat{\xi}_1; \hat{C}^b, \hat{C}^a), \quad \hat{\rho} := \mathcal{M}(\hat{\xi}_1; \hat{\rho}^b, \hat{\rho}^a). \quad (107)$$

Fig. 7(b) shows the results obtained for both the heterogeneous solution $\hat{u}_1$, the latter being computed by means of an explicit second-order finite-difference time-stepping scheme (centered in space and time), and the homogenized solution obtained using the spectro-hierarchical technique assuming $\alpha^2 = 1/10$. In this second case, both the first-order solution $\hat{v}^{[1]}$ and the second-order solution $\hat{v}^{[2]}$ are reported. For a fixed spatial coordinate $\hat{x}_1 = \pi/2$, Fig. 7(c) shows the time evolution of the three solutions, whereas, for a fixed time instant $\hat{t} = 0.1$, Fig. 7(d) illustrates the spatial profiles of the three solutions, assuming that the cluster of size 2π contains 16 cells. It is therefore possible to observe that the proposed homogenization technique is able to accurately capture the behavior of the solution both in space and time, and that, by increasing the order of approximation, the micro-fluctuations of the solution induced by the microstructural heterogeneity can be reproduced with good accuracy. In this regard, Fig. 7(e) shows the spatial distribution of the error, defined as $E(\hat{x}_1) = |\hat{u}_1 - \hat{v}^{[i]}| / \max_{\hat{x}_1} \hat{u}_1$ for $\hat{t} = 0.1$ and $i = 1, 2$ as a function of position, further confirming the effectiveness of the proposed approach.

Data availability

Data will be made available on request.

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